

## 2, Quantum theory of Radiation

1. Stimulated and Spontaneous Emission
2. Macroscopic theory of absorption
3. Microscopic theory of absorption
4. The Laser
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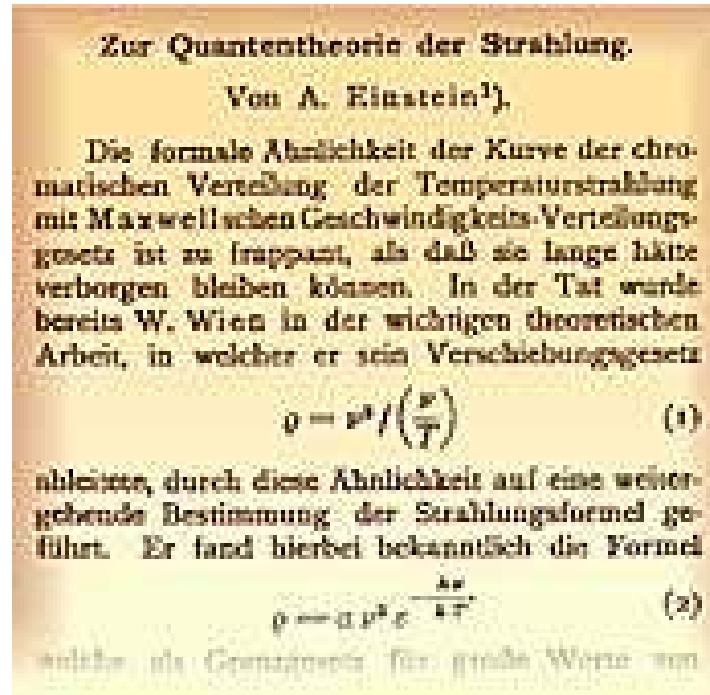
**Ref:**

Ch. 1 in *"Quantum Optics,"* by M. Scully and M. Zubairy.

Ch. 1 in *"The Quantum Theory of Light,"* by R. Loudon.

Ch. 2 in *"Modern Foundations of Quantum Optics,"* by V. Vedral.

# Einstein on Radiation



## "On the Quantum Theory of Radiation"

$$D(\omega) = \frac{A/B}{e^{h\omega/k_B T} - 1}$$

$$\frac{A}{B} = \frac{h\omega^3}{\pi^2 c^3}$$

A. Einstein, *Phys. Z.* 18, 121 (1917).

D. Kleppner, "Rereading Einstein on Radiation," *Physics Today* 58, 30 (Feb. 2005).

# Quantization of the Electromagnetic Field

- ➔ Like simple harmonic oscillator,  $\hat{H} = \frac{p^2}{2m} + \frac{1}{2}kx^2$ , where  $[\hat{x}, \hat{p}] = i\hbar$ ,
- ➔ For EM field,  $\hat{H} = \frac{1}{2} \sum_j [m_j \omega_m^2 q_j^2 + \frac{p_j^2}{m_j}]$ , where  $[\hat{q}_i, \hat{p}_j] = i\hbar \delta_{ij}$ ,
- ➔ the Hamiltonian for EM fields becomes:  $\hat{H} = \sum_j \hbar \omega_j (\hat{a}_j^\dagger \hat{a}_j + \frac{1}{2})$ ,
- ➔ the electric and magnetic fields become,

$$\hat{E}_x(z, t) = \sum_j \left( \frac{\hbar \omega_j}{\epsilon_0 V} \right)^{1/2} [\hat{a}_j e^{-i\omega_j t} + \hat{a}_j^\dagger e^{i\omega_j t}] \sin(k_j z),$$

$$\hat{H}_y(z, t) = -i\epsilon_0 c \sum_j \left( \frac{\hbar \omega_j}{\epsilon_0 V} \right)^{1/2} [\hat{a}_j e^{-i\omega_j t} - \hat{a}_j^\dagger e^{i\omega_j t}] \cos(k_j z),$$

- ➔ energy level for quantized field,  $E_n = (n + \frac{1}{2})\hbar\omega$ .

# Planck's Law

- ➔ In the thermal equilibrium at temperature  $T$ , the probability  $P_n$  that the mode oscillator is thermally excited to the  $n$ -th excited state is given by the *Boltzman factor*,

$$P_n = \frac{\exp[-E_n/k_B T]}{\sum_n \exp[-E_n/k_B T]},$$

- ➔ the mean number  $\bar{n}$  of photons is,

$$\bar{n} = \sum_n n P_n = \frac{U}{1 - U} = \frac{1}{\exp(\hbar\omega/k_B T) - 1},$$

where  $U \equiv \exp(-\hbar\omega/k_B T)$  and  $\sum_{n=0}^{\infty} U^n = 1/(1 - U)$ .

- ➔ energy density of the radiation:

$$\begin{aligned} D(\omega)d\omega &= \bar{n}\hbar\omega d\omega = \bar{n}\hbar\omega\rho_\omega d\omega, \\ &= \bar{n}\hbar\omega^3 d\omega/\pi^2 c^3 = \frac{\hbar\omega^3}{\pi^2 c^3} \frac{d\omega}{\exp[\hbar\omega/k_B T] - 1}. \end{aligned}$$

# Fluctuations in Photon Number

- the ergodic theorem of statistical mechanics: time averages are equivalent to averages taken over a large number of exactly similar systems, each maintained in a fixed state (ensemble).
- the probability of finding  $\bar{n}$  photons,

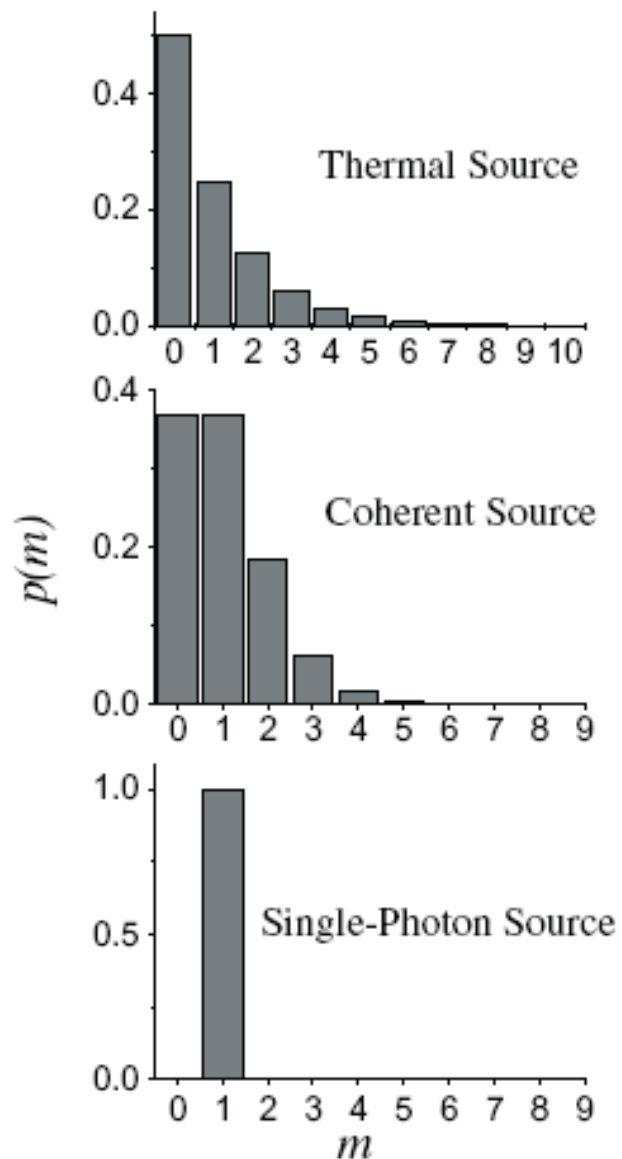
$$P_n = \frac{\exp[-E_n/k_B T]}{\sum_n \exp[-E_n/k_B T]} = (1 - U)U^n = \frac{\bar{n}^n}{(1 + \bar{n})^{1+n}},$$

which is a thermal distribution or the geometric distribution (Poisson distribution).

- the root-mean-square deviation:

$$\Delta n^2 = \sum_n (n - \bar{n})^2 P_n = \bar{n}^2 + \bar{n} \approx \bar{n} + \frac{1}{2}, \quad \text{for } \bar{n} \gg 1.$$

# Probability distribution for $\bar{n} = 1$



# Einstein's $A$ and $B$ coefficients

- For a two-level atom, the rates of changes of  $N_1$  and  $N_2$  are,

$$\frac{dN_1}{dt} = -\frac{dN_2}{dt} = N_2 A_{21} - N_1 B_{12} D(\omega) + N_2 B_{21} D(\omega),$$

- $A_{21}$  is the probability of photon in state 2 spontaneously fall into the lower state 1, i.e. spontaneous emission;
- $B_{12}$  is the probability of photon absorption in state 1 into state 2, i.e. absorption;
- $B_{21}$  is the probability of photon emission from state 2 into state 1, i.e. stimulated emission;
- in thermal equilibrium,  $\frac{dN_1}{dt} = -\frac{dN_2}{dt} = 0$ ,

$$D(\omega) = \frac{A_{21}}{(N_1/N_2)B_{12} - B_{21}},$$

where the populations  $N_1$  and  $N_2$  are related by Boltzmann's law,

$$N_1/N_2 = (g_1/g_2)\exp[\hbar\omega/k_B T],$$

# Einstein's $A$ and $B$ coefficients

- the density distribution of EM fields in a two-level atom,

$$D(\omega) = \frac{A_{21}}{(g_1/g_2)\exp[\hbar\omega/k_B T]B_{12} - B_{21}},$$

where  $g_1$  and  $g_2$  are the level degenerate parameters.

- compare it in free space,

$$D(\omega) = \frac{\hbar\omega^3}{\pi^2 c^3} \frac{1}{\exp[\hbar\omega/k_B T] - 1},$$

- at all temperatures  $T$ , we have

$$\begin{aligned}(g_1/g_2)B_{12} &= B_{21}, \\ (\hbar\omega^3/\pi^2 c^3)B_{21} &= A_{21},\end{aligned}$$

- the consistency between the Einstein theory and Planck's law could not have been achieved without the introduction of the *stimulated emission* process.



# Einstein's $A$ and $B$ coefficients

- ↻ for nondegenerate two-level atom,  $g_1 = g_2 = 1$  and  $N_1 + N_2 = N$ ,

$$\frac{dN_1}{dt} = -\frac{dN_2}{dt} = N_2A + (N_2 - N_1)BD(\omega),$$

- ↻ the solution for  $N_1$  is,

$$N_1 = \left[ N_1^0 - \frac{N(A + BD(\omega))}{A + 2BD(\omega)} \right] \exp[-(A + 2BD(\omega))t] + \frac{N[A + BD(\omega)]}{A + 2BD(\omega)}$$

where  $N_1^0$  is the initial value of  $N_1$  at  $t = 0$ ,

- ↻ if  $N_2^0 = 0$ , all atoms are in the ground state at  $t = 0$ ,

$$N_2 = \frac{NBD(\omega)}{A + 2BD(\omega)} [1 - \exp[-(A + 2BD(\omega))t]],$$

- ↻ in the steady-state,

$$N_2 = \frac{NBD(\omega)}{A + 2BD(\omega)} \approx 0.5, \quad \text{if } BD(\omega) \gg A,$$

# Macroscopic theory of Absorption

- for the excited state,

$$\frac{dN_2}{dt} = -N_2 A,$$

with the solution  $N_2 = N_2^0 \exp[-At]$ , where  $A \equiv 1/\tau_R$  the radiative lifetime of the excited states.

- in macroscopic, the polarization  $\mathbf{P}$  by an applied electric field  $\mathbf{E}$  is related with  $\mathbf{P} = \epsilon_0 \chi \mathbf{E}$ , where the susceptibility  $\chi = \chi_1 + i\chi_2$ ,

- the relation between frequency and the wavevector,  $kc/\omega = 1 + \chi = \eta + i\kappa$ , where  $\eta^2 - \kappa^2 = 1 + \chi_1$  and  $2\eta\kappa = \chi_2$ ,

- the traveling-wave solution propagated in the  $z$ -direction becomes,

$$\exp[i(kz - \omega t)] = \exp[i\omega\left(\frac{\eta z}{c} - t\right) - \frac{\omega\kappa z}{c}],$$

- the averaged Poynting vector,  $\bar{I} = \langle \mathbf{E} \times \mathbf{B}/\mu_0 \rangle = \frac{1}{2} \epsilon_0 c \eta |\mathbf{E}(r, t)|^2$ , where

$$\bar{I}(z) = \bar{I}_0 \exp[-2\omega\kappa z/c],$$

# Microscopic theory of Absorption

- ➔ total electromagnetic energy density:  $\int_0^\infty D(\omega)d\omega = 1/2V \int_{\text{cavity}} \epsilon_0 |\mathbf{E}(r, t)|^2 dV$ .
- ➔ for a lossy dielectric medium,  $\int_0^\infty D(\omega)d\omega = 1/2V \int_{\text{cavity}} \epsilon_0 \eta^2 |\mathbf{E}(r, t)|^2 dV$ .
- ➔ in steady-state condition,  $-\frac{dN_2}{dt} = N_2 A + (N_2 - N_1) B D(\omega) / \eta^2 = 0$ , with an additional factor  $\eta^2$  for the energy density,
- ➔ the attenuation energy within a small section of  $dz$ , cross-section  $A$  is,

$$\frac{\partial}{\partial t} D(\omega) d\omega A dz = -(N_1 - N_2) F(\omega) d\omega B D(\omega) / \eta^2 \hbar \omega (A dz / V),$$

- ➔ for the absorption,  $-\frac{\partial}{\partial t} D(\omega) d\omega A dz = -\frac{\partial}{\partial z} \bar{I} d\omega A dz$ , or  $\frac{\partial}{\partial t} D(\omega) = \frac{\partial}{\partial z} \bar{I}$ ,
- ➔ for  $\bar{I} = \frac{1}{2} \epsilon_0 c \eta |\mathbf{E}(r, t)|^2$ , we have  $c D(\omega) = \eta \bar{I}$ , then,

$$\frac{\partial}{\partial z} \bar{I} = -(N_1 - N_2) F(\omega) (B \hbar \omega / V c \eta) \hbar I,$$

where  $F(\omega)$  is the distribution of atomic transition frequencies.

# Microscopic theory of Absorption

→ if  $N_2^0 = 0$ , all atoms are in the ground state at  $t = 0$ ,

$$N_2 = \frac{NBD(\omega)}{A + 2BD(\omega)/\eta^2} [1 - \exp[-(A + 2BD(\omega))t]] \approx \frac{NBD(\omega)}{A + 2BD(\omega)/\eta^2},$$

and we have,

$$N_1 - N_2 = \frac{NA}{A + 2BD(\omega)/\eta^2} = \frac{NA}{A + 2B\bar{I}/c\eta},$$

→ the equation for the average beam intensity becomes,

$$\frac{1}{\bar{I}} \left(1 + \frac{2B\bar{I}}{Ac\eta}\right) \frac{\partial}{\partial z} \bar{I} = -\frac{NB\hbar\omega F(\omega)}{Vc\eta}$$

→ for all ordinary light beams,  $\frac{2B\bar{I}}{Ac\eta} \ll 1$ , then we have,

$$\begin{aligned} \bar{I}(z) &= \bar{I}_0 \exp[-NB\hbar\omega F(\omega)z/Vc\eta], \\ &= \bar{I}_0 \exp[-Kz], \end{aligned}$$

# Microscopic theory of Absorption

- A dielectric with one single resonance may be modeled as a distribution of "+" and "-" charges, the + charges immobile and the - charges tied to the + charges by a spring constant  $k$ ,

$$m\left(\frac{d^2}{dt^2} + 2\beta\frac{d}{dt} + \omega_0^2\right)\mathbf{d} = -\frac{e}{m}\mathbf{E},$$

- for the incident field  $\mathbf{E} = E_0 \exp[-i(\omega t - kz)]$  and the dipole  $\mathbf{d} = a \exp[-i(\omega t - kz)]$ , we have

$$a = \frac{-(e/m)E_0}{\omega^2 - \omega_0^2 + 2i\beta\omega},$$

- the polarization

$$\mathbf{P} = Np = N \sum_j \mathbf{e}d_j = N\alpha(\omega)E_0 e^{-i(\omega t - kz)},$$

where  $\alpha(\omega) = \frac{-e^2/m}{\omega^2 - \omega_0^2 + 2i\beta\omega}$ .

# Microscopic theory of Absorption

→ the dispersion relation,

$$k^2 = \frac{\omega^2}{c^2} \left[ 1 + \frac{N\alpha(\omega)}{\epsilon_0} \right] = \frac{\omega^2}{c^2} n^2(\omega^2),$$

→ the real index of refraction,

$$n_R(\omega) = 1 + \frac{Ne^2}{m\epsilon_0} \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + 4\beta^2\omega^2},$$

→ the absorption coefficient or extinction coefficient,

$$a(\omega) = 2n_I(\omega)\omega/c = \frac{2Ne^2}{m\epsilon_0 c} \frac{\beta\omega^2}{(\omega_0^2 - \omega^2)^2 + 4\beta^2\omega^2},$$

which has the lineshape of the *Lorentzian* function,

$$a(\omega) = \frac{Ne^2}{2m\epsilon_0 c} \frac{\delta\omega_0}{(\omega_0 - \omega)^2 + \delta\omega_0^2},$$

# Population Inversion: the Laser

- for a three level atom,  $N_1 + N_2 + N_3 = N$ , the rate equations are:

$$\frac{dN_2}{dt} = -N_2 A_{21} - N_2 A_{23} + D_p B_{23}(N_3 - N_2) - D(\omega) B_{21}(N_2 - N_1),$$

$$\frac{dN_1}{dt} = N_2 A_{21} - N_1 A_{13} + D(\omega) B_{21}(N_2 - N_1),$$

$$\frac{dN_3}{dt} = -N_2 A_{23} + N_1 A_{13} - D_p B_{23}(N_3 - N_2),$$

- the pumping rate  $\gamma = D_p B_{23}(N_3 - N_2)/N$ ,

- in steady-state,

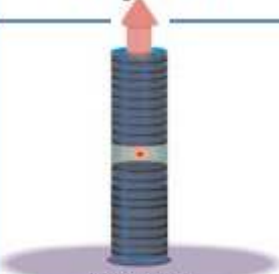


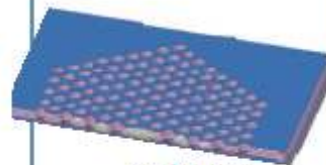
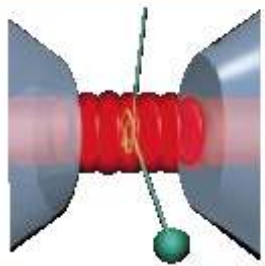
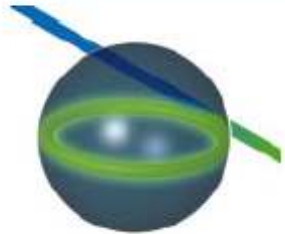

$$N_2[A_{21} + B_{21}D(\omega)] = N_1[A_{12} + B_{21}D(\omega)],$$

$$N_2 A_{23} + N_1 A_{13} = N\gamma,$$

- for  $A_{21} < A_{13}$ , we have  $N_2 > N_1$ .

# Purcell effect: Cavity-QED (Quantum ElectroDynamics)



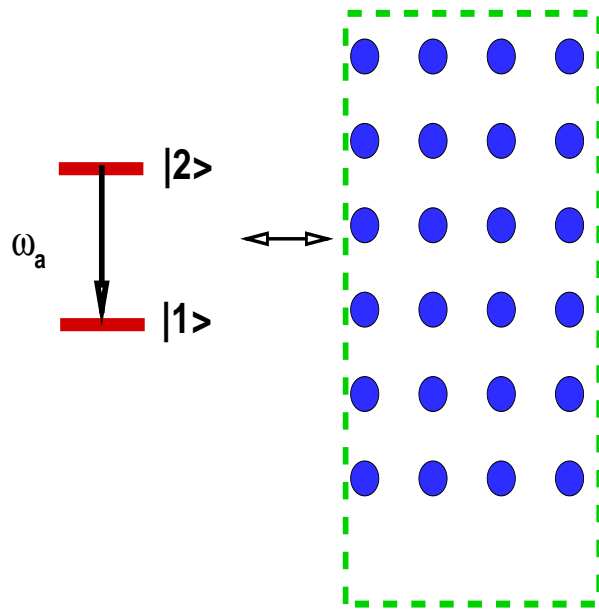
	Fabry-Perot	Whispering gallery		Photonic crystal
High Q	 <p>Q: 2,000 V: <math>5 (\lambda/n)^3</math></p>	 <p>Q: 12,000 V: <math>6 (\lambda/n)^3</math></p>	 <p><math>Q_{\text{III-V}}</math>: 7,000 <math>Q_{\text{Poly}}</math>: <math>1.3 \times 10^5</math></p>	 <p>Q: 13,000 V: <math>1.2 (\lambda/n)^3</math></p>
Ultrahigh Q	 <p>F: <math>4.8 \times 10^5</math> V: <math>1,690 \mu\text{m}^3</math></p>	 <p>Q: <math>8 \times 10^9</math> V: <math>3,000 \mu\text{m}^3</math></p>	 <p>Q: <math>10^8</math></p>	

E. M. Purcell, *Phys. Rev.* **69** (1946).

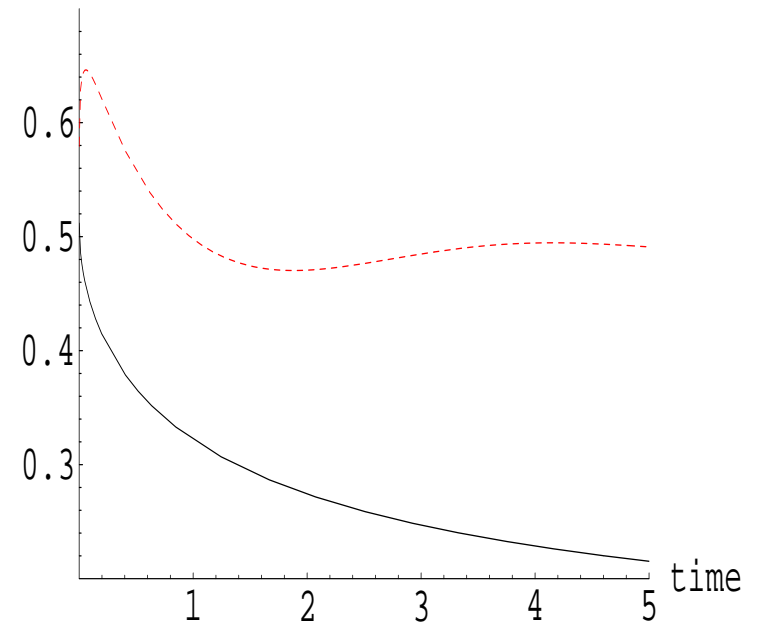
Nobel laureate **Edward Mills Purcell** (shared the prize with Felix Bloch) in 1952, for their contribution to nuclear magnetic precision measurements.



# photon-atom bound state



upper level population



S. John and J. Wang, *Phys. Rev. Lett.* **64**, 2418 (1990).