

Chemical Vapor Deposition

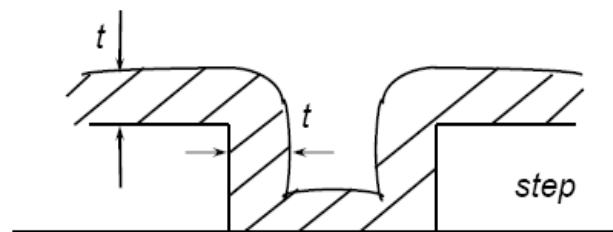
ESS4810 Lecture
Fall 2010

Introduction

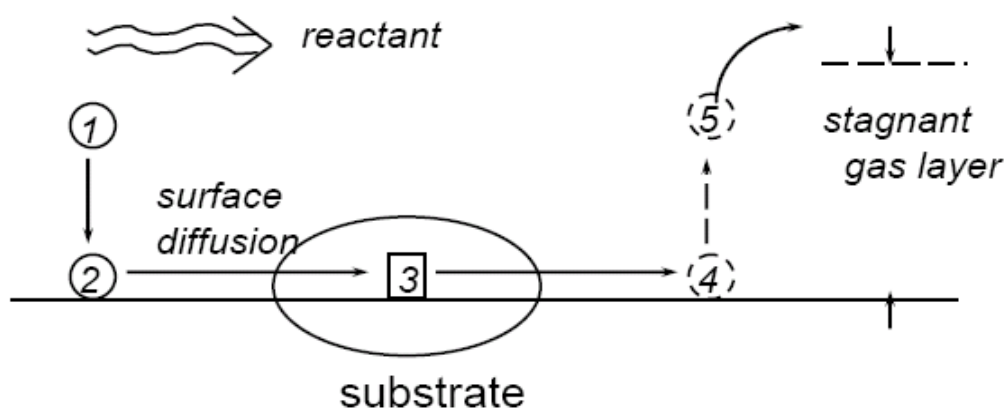
- Chemical vapor deposition (CVD) forms **thin films** on the surface of a substrate by **thermal decomposition** and/or **reaction** of **gas** compounds
- The desired material is deposited directly from the **gas** phase onto the surface of the substrate

Advantage

- More conformal deposition
 - Higher temperature - higher surface diffusion
 - Higher pressure – shorter mean free path



Mechanism



- 1: diffusion of reactants to surface
- 2: absorption of reactants at surface
- 3: chemical reaction at surface
- 4: desorption of gaseous by-products from surface
- 5: diffusion of gaseous by-products from surface

Silicon Deposition

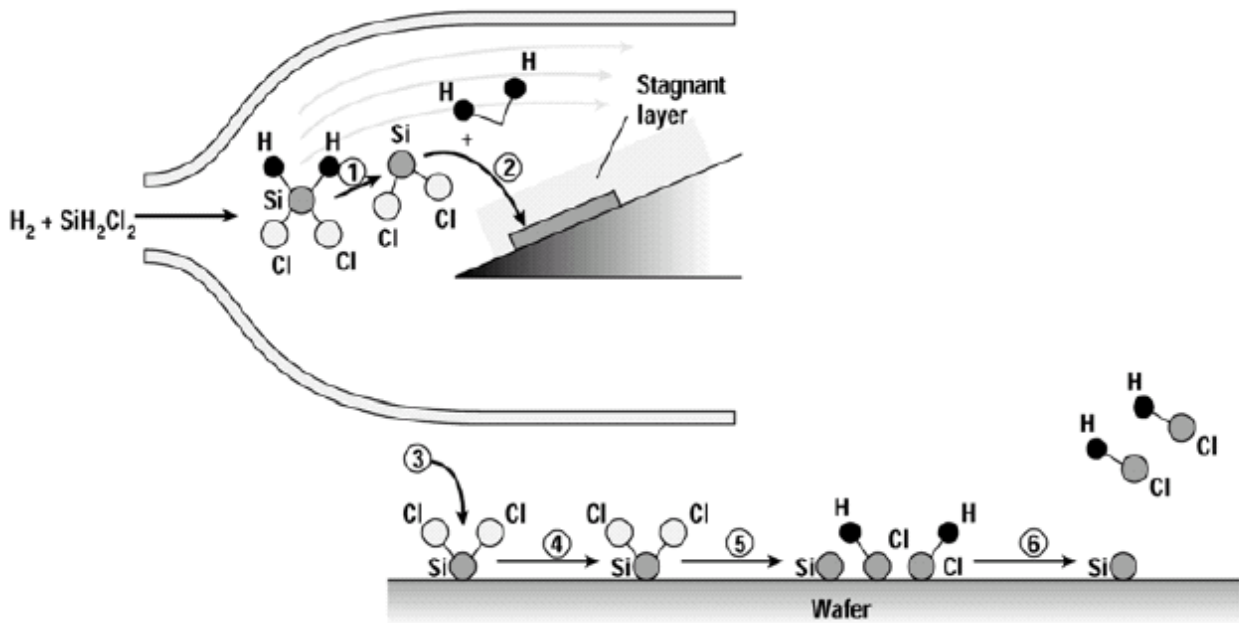
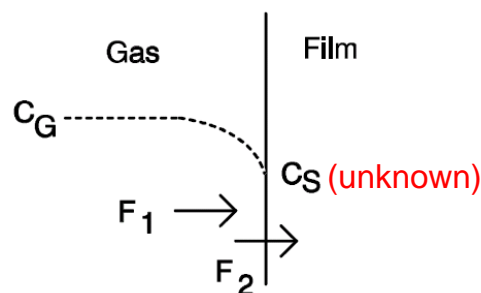


Figure 14.4 VPE steps include (1) gas-phase decomposition and (2) transport to the surface of the wafer. At the surface the growth species must (3) adsorb, (4) diffuse, and (5) decompose, and (6) the reaction by-products desorb.

Growth Rate Model



- F_1 = flux from bulk of gas to substrate surface

$$h_G(C_G - C_S)$$
- F_2 = flux consumed in film-growth reaction

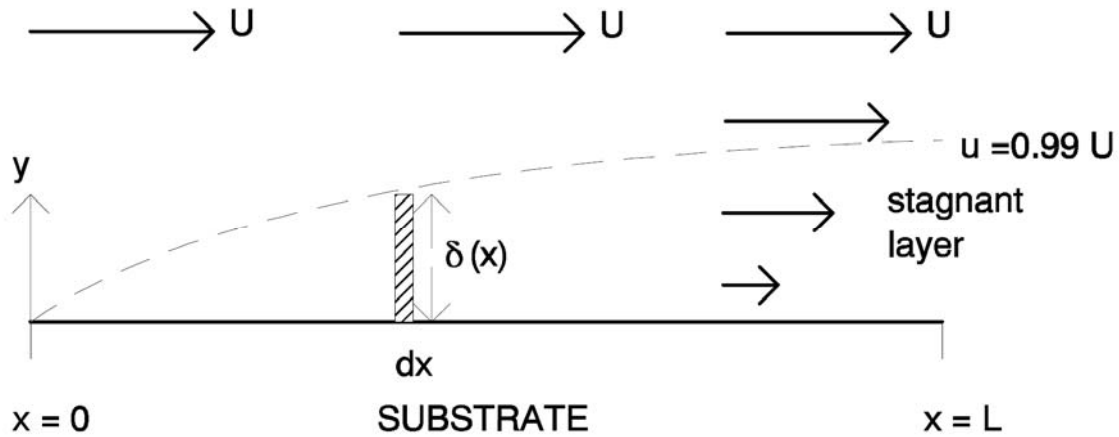
$$k_s \cdot C_S$$
- $F_1 = F_2$

$$F = \frac{k_s h_G}{k_s + h_G} \cdot C_G$$
- Film growth rate = F/N
 - N : atomic density of the deposited film

Boundary Layer Theory

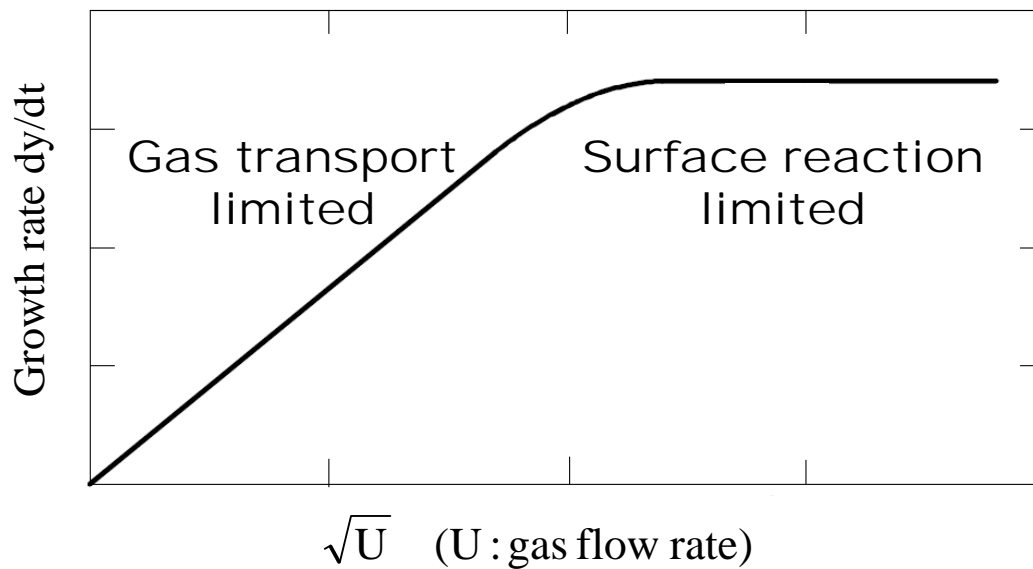
$$\bar{\delta} = \frac{1}{L} \int_0^L \delta(x) dx = \frac{2}{3} \frac{L}{\sqrt{\rho U L / \mu}}$$

boundary layer thickness

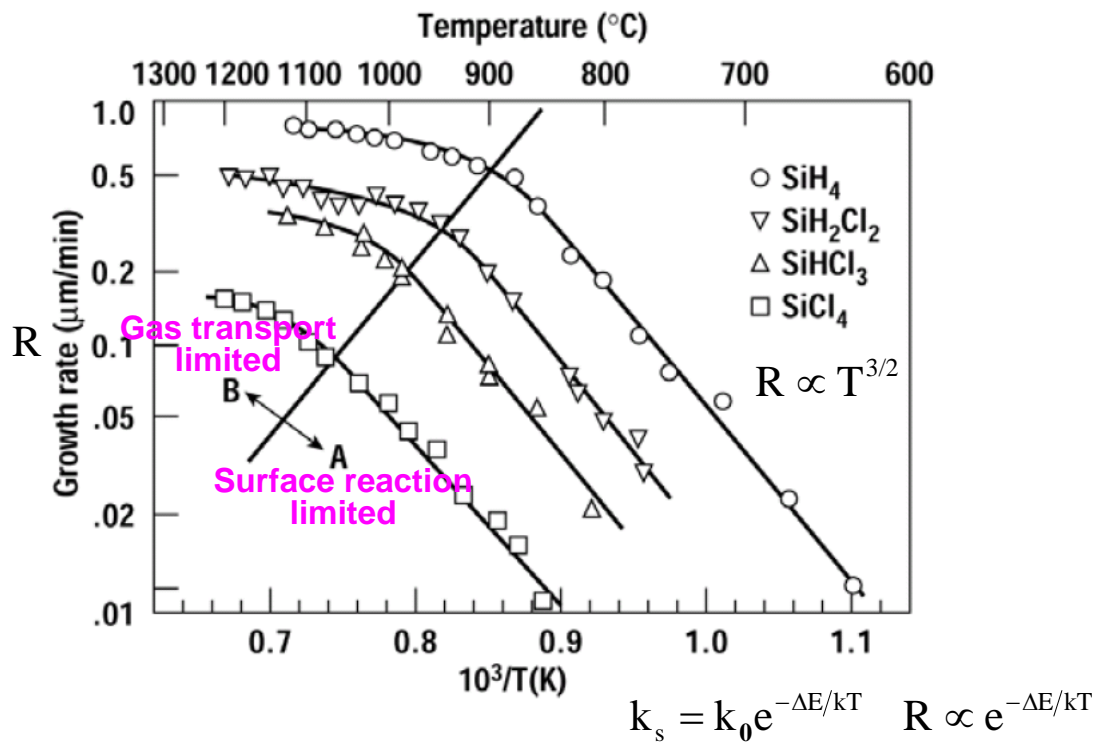


Flow Rate Dependence

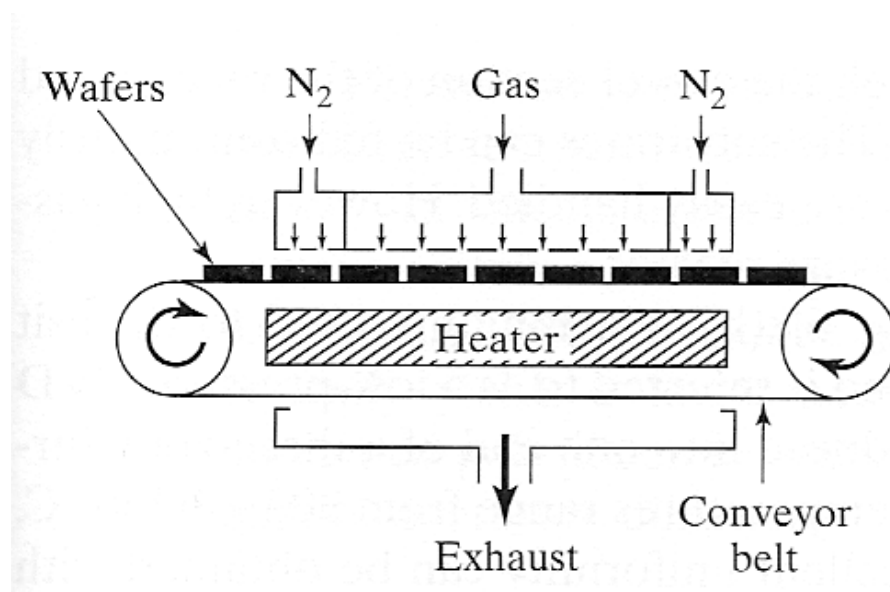
$$h_G = \frac{D_G}{\delta} \quad \frac{dy}{dt} \propto h_G \propto \frac{1}{\delta} \propto \sqrt{U}$$



Temperature Dependence

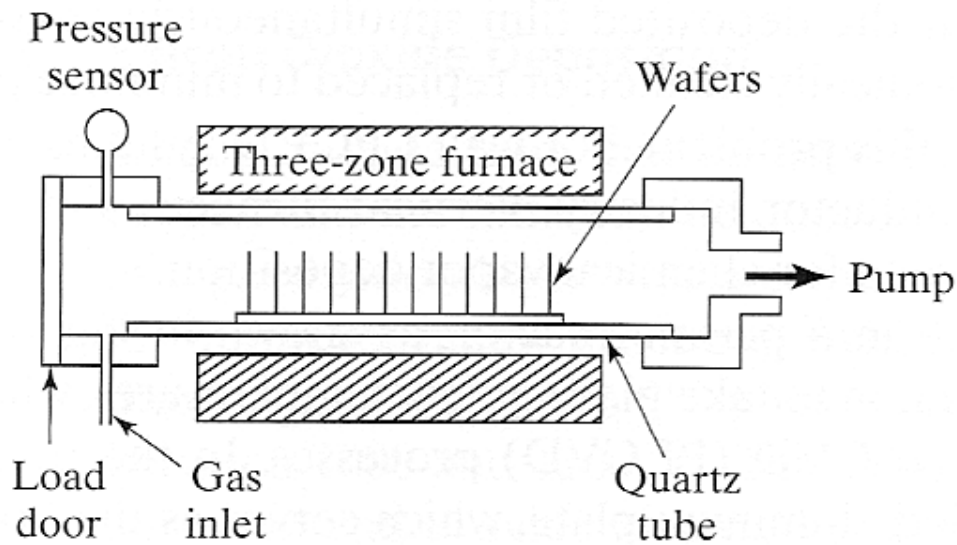


Atmospheric Pressure (APCVD)



Deposition rate is high but uniformity is poor

Low Pressure (LPCVD)



LPCVD

$$D \propto 1/P$$

from 760 torr to 1 torr, $D \uparrow \sim 1000x$

$$\bar{\delta} \propto \sqrt{\frac{\mu}{\rho U}}$$

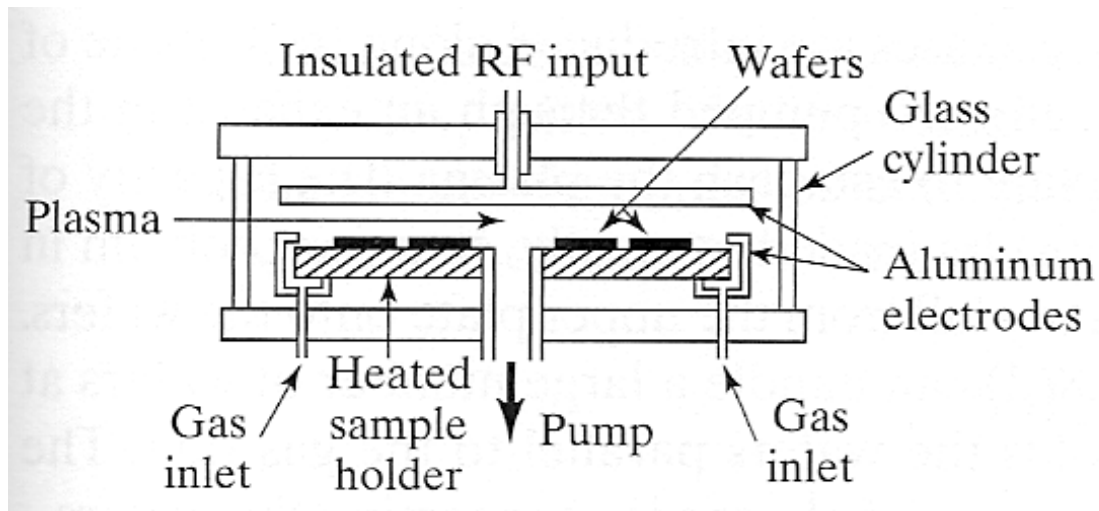
100x gas flow rate, $\bar{\delta} \uparrow \sim 3x$

$$h_G = \frac{D_G}{\bar{\delta}}$$

$$h_G \uparrow \sim 300x$$

More likely to be surface reaction limited

Plasma Enhanced (PECVD)

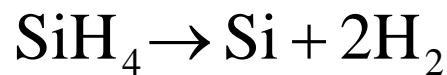


PECVD

- Ionized chemical species allows a lower T to be used
- Film properties (e.g. mechanical stress) can be tailored by controllable ion bombardment with substrate bias voltage

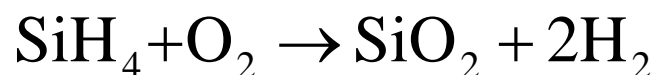
				Deposition Temperature	
				LPCVD	PECVD
$\text{SiH}_4 + \text{NH}_3 \Rightarrow \text{Si}_3\text{N}_4$				850°C	200-400°C
$\text{SiH}_4 + \text{N}_2\text{O} \Rightarrow \text{SiO}_2$				800°C	200-400°C
$\text{TEOS} + \text{O}_2 \Rightarrow \text{SiO}_2$				720°C	350°C
$\text{SiH}_4 + \text{O}_2 \Rightarrow \text{SiO}_2$				400°C	

Polysilicon Deposition

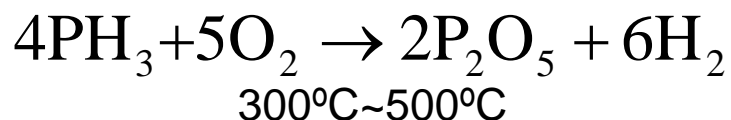


- LPCVD
- 600°C~650°C
- 25~150 Pa
- 100% silane or 20%~30% silane diluted with nitrogen
- 100~200 Å/min

Silicon Dioxide Deposition



- phospho-silicate glass (PSG) [$\text{P}_2\text{O}_5 + \text{SiO}_2$]

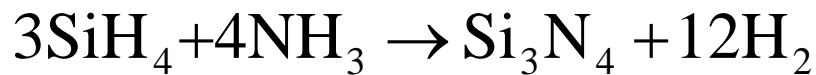


- tetraethylorthosilicate (TEOS)

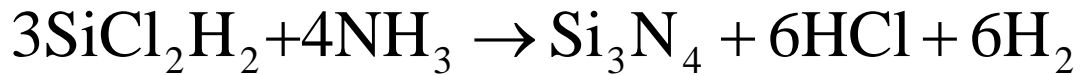


LPCVD, 650°C~750°C

Silicon Nitride Deposition



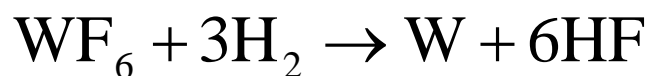
APCVD, 700°C~900°C



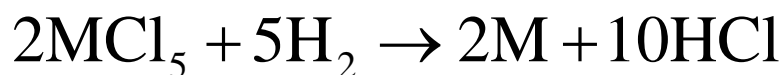
LPCVD, 700°C~800°C

- Thermal growth of Si_3N_4 is possible but not very practical
- 1000°C~1100°C
- The growth rate is very low

CVD Metal Deposition



- Mo, Ta, and Ti can be deposited in an LPCVD system through reaction with hydrogen



Reactive Ion Etching

ESS4810 Lecture
Fall 2010

Introduction

- Dry etching: physically/chemically
- High-pressure plasma etching
- Ion milling
- Reactive ion etching
- Deep reactive ion etching

High-Pressure Plasma Etching

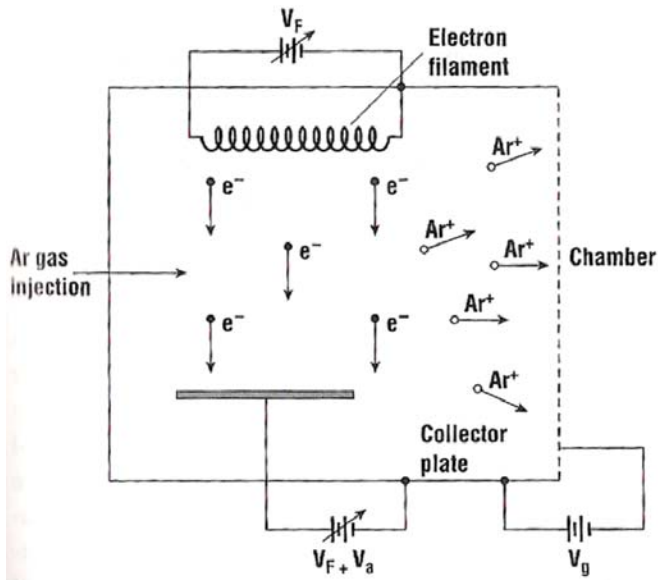
- High-pressure and low-power
- The plasma is used to start and stop the etching by producing a reactive species from an inert precursor
- Depends primarily on the chemistry

Ion Milling

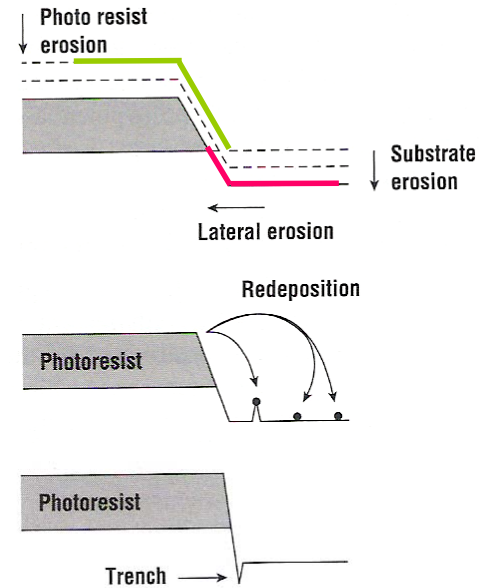
- Low-pressure and high-power
- Pure ion milling or ion-beam etching involves no chemical reactions
- Strictly mechanical process, chemistry independent
- Two significant advantages
 - Directionality
 - Applicability

Ion Milling

Kaufman source



Problems



Dry etching spectrum

Depending on the pressure range in the reactor, the reaction mechanism in dry etching goes from physical sputtering (<100 mTorr), over physical-chemical (100 mTorr range), to chemical etching (>100 mTorr).

< 100 MILLITORR

PHYSICAL SPUTTERING (and Ion Beam Milling)

- Physical momentum transfer
- Directional etch (anisotropic) possible
- Poor selectivity
- Radiation damage possible

RIE (Halocarbon Gas)

100 MILLITORR RANGE

- Physical (ion) and chemical
- Directional
- More selective than sputtering

PLASMA ETCHING

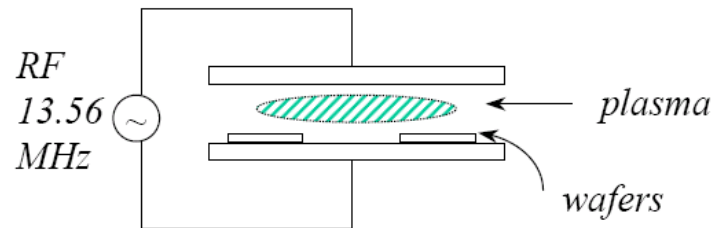
HIGHER PRESSURE

- Chemical, thus faster by 10-1000X
- Isotropic
- More selective
- Less prone to radiation damage

HIGHER
EXCITATION
ENERGY

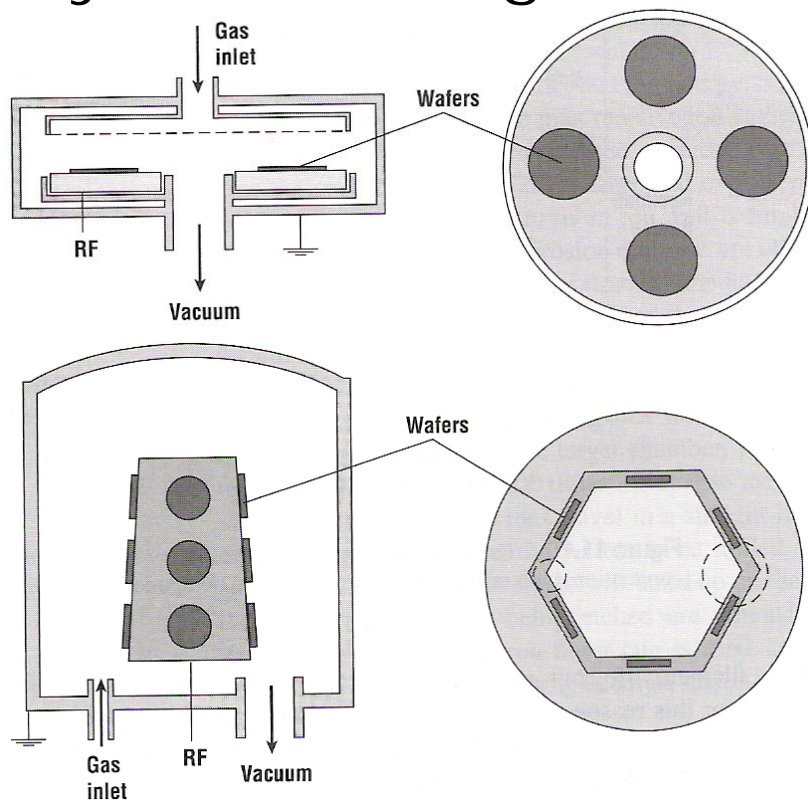
Reactive Ion Etching

- Parallel-plate reactor



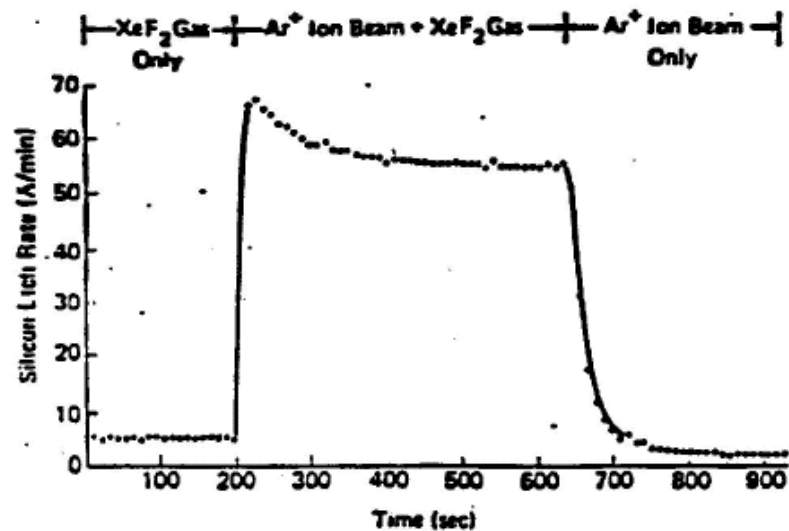
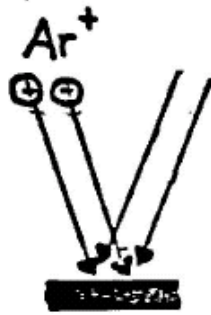
- Plasma generates
 - Ions: sputtering
 - Activated neutrals: enhance chemical reaction

System Configuration

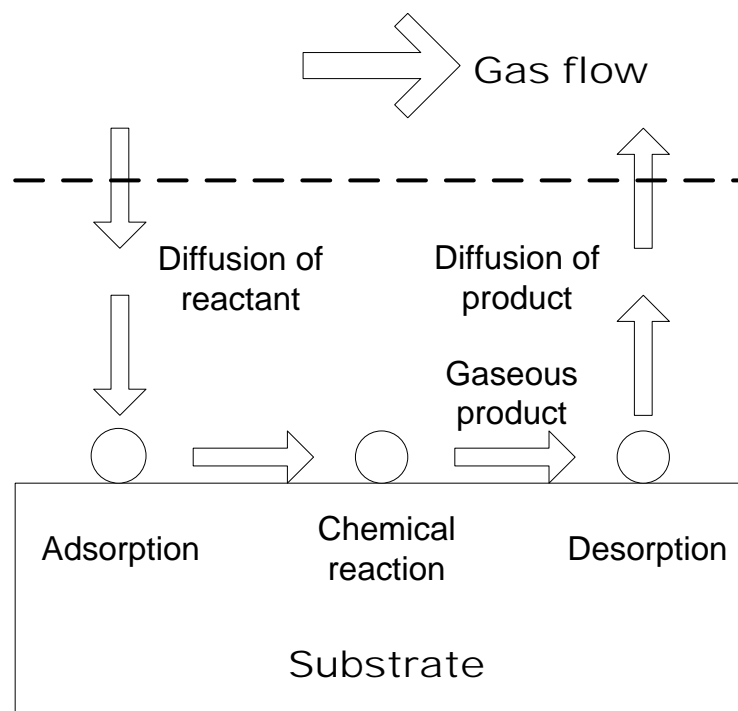


Ion-Assisted Etching

- Synergism of ion bombardment + chemical reaction give the high RIE rates



Etching Sequence



Volatility of Etching Product

- Higher vapor pressure usually means higher volatility
- Example



Difficult to RIE Al-Cu alloy with high Cu content

BOILING POINT (°C) AT 1 atm

Chlorides

<u>AlCl₃</u>	<u>177.8</u>
<u>SiCl₄</u>	<u>57.6</u>
<u>Cu₂Cl₂</u>	<u>1490</u>
<u>TiCl₄</u>	<u>136.4</u>
<u>WCl₆</u>	<u>346.7</u>

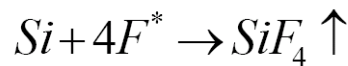
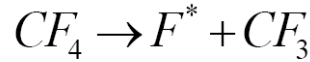
Fluorides

<u>AlF₃</u>	<u>1291</u>
<u>SiF₄</u>	<u>86</u>
<u>Cu₂F₂</u>	<u>1100</u>
<u>TiF₄</u>	<u>284</u>
<u>WF₆</u>	<u>17.5</u>

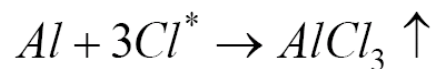
Chemistry	Primary Films Etched
Cl-based (Cl ₂ , BCl ₃)	Al alloys, Ti, TiN, resist
F-based (SF ₆ , CF ₄ , CHF ₃)	W, TiW, SiO ₂ , resist
O-based (O ₂ , O ₃ , CO ₂ , H ₂ O)	Resist

Examples

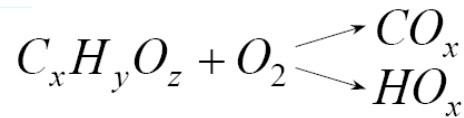
- Silicon, use CF_4 gas



- Aluminum $CCl_4 + e \Leftrightarrow CCl_3^+ + Cl^* + 2e$



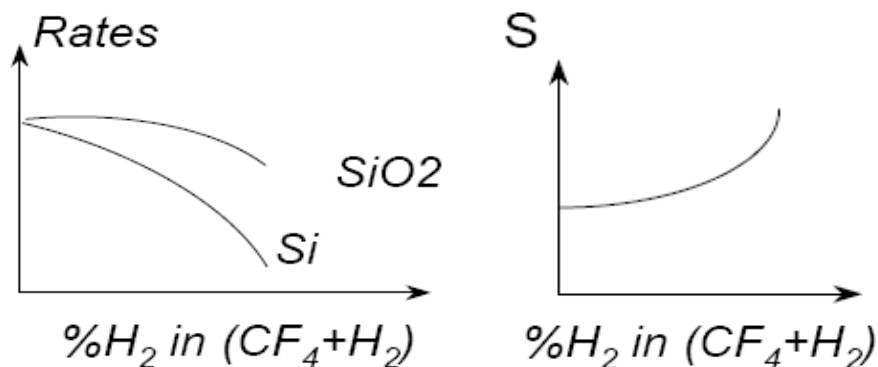
- Photoresist



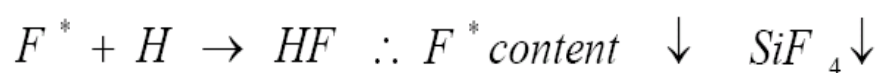
Selectivity

- SiO_2 etching in $CF_4 + H_2$ plasma

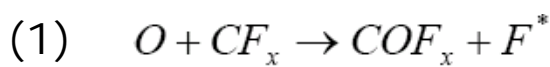
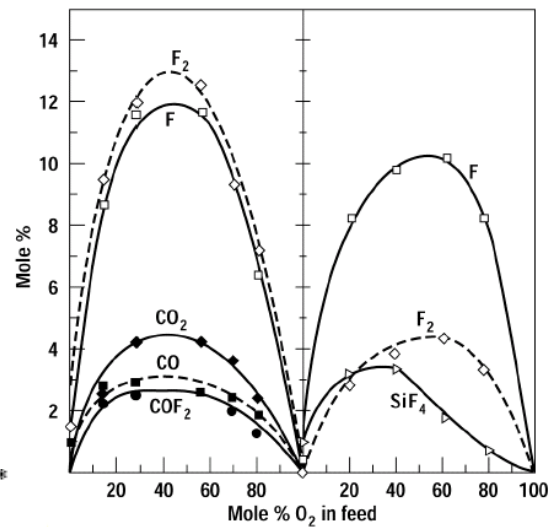
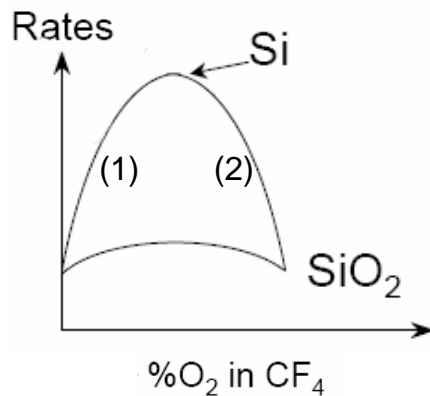
$S = \text{rate of } SiO_2 / \text{rate of } Si$



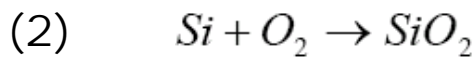
- Reason



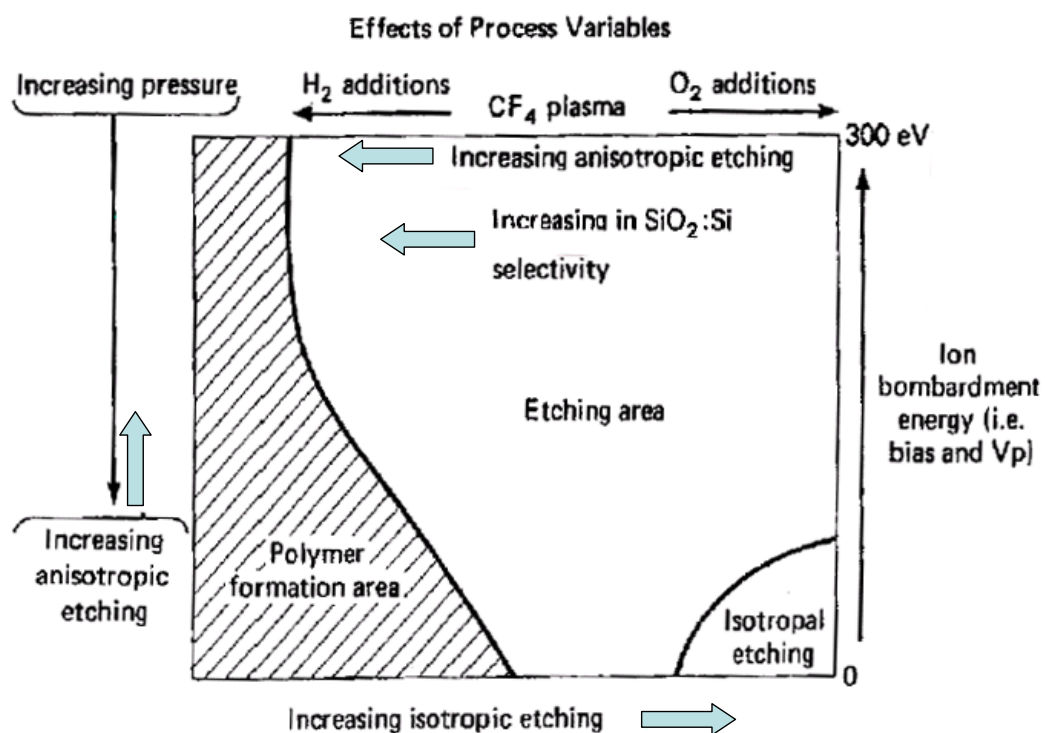
Si Etching in CF₄+O₂ Mixture



F* increases Si etching rate

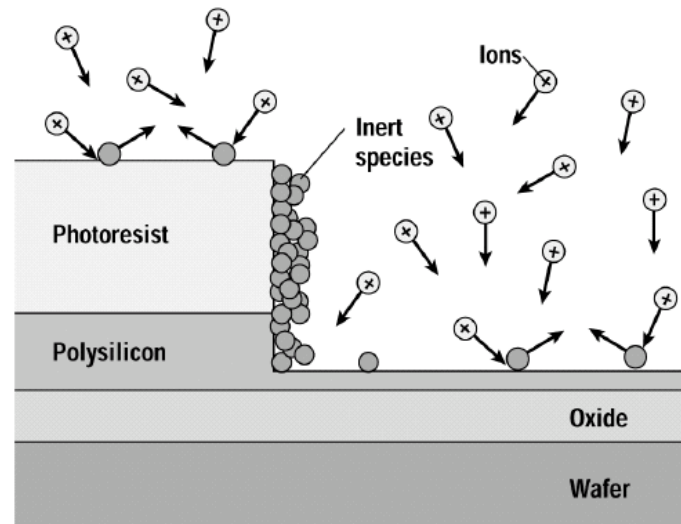


Characteristics



Etching Mechanism

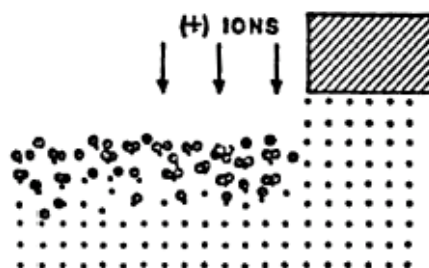
- Removal of surface film and deposition of plasma reaction products can occur simultaneously



Anisotropy

- Ionic bombardment to damage expose surface
- Sidewall coating by inhibitor prevents etching

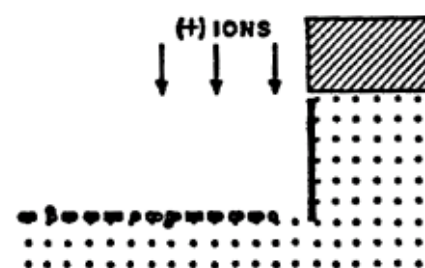
SURFACE DAMAGE INDUCED ANISOTROPY



(•) ETCHANT

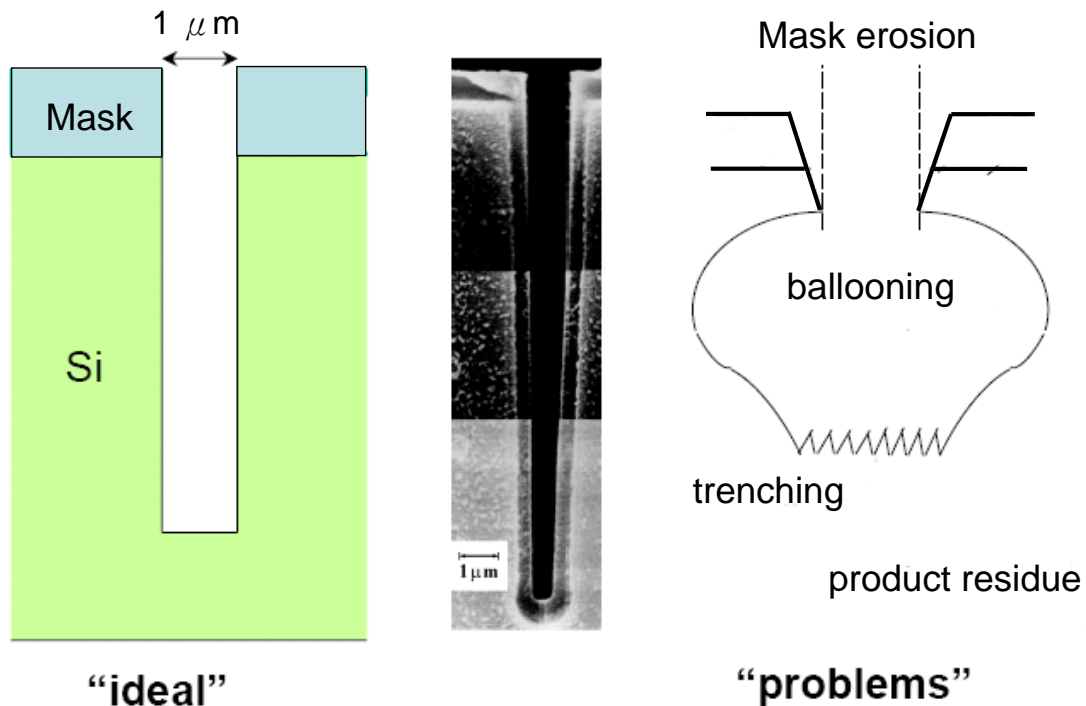
(•) SUBSTRATE ATOM

SURFACE INHIBITOR MECHANISM OF ANISOTROPY



— INHIBITOR

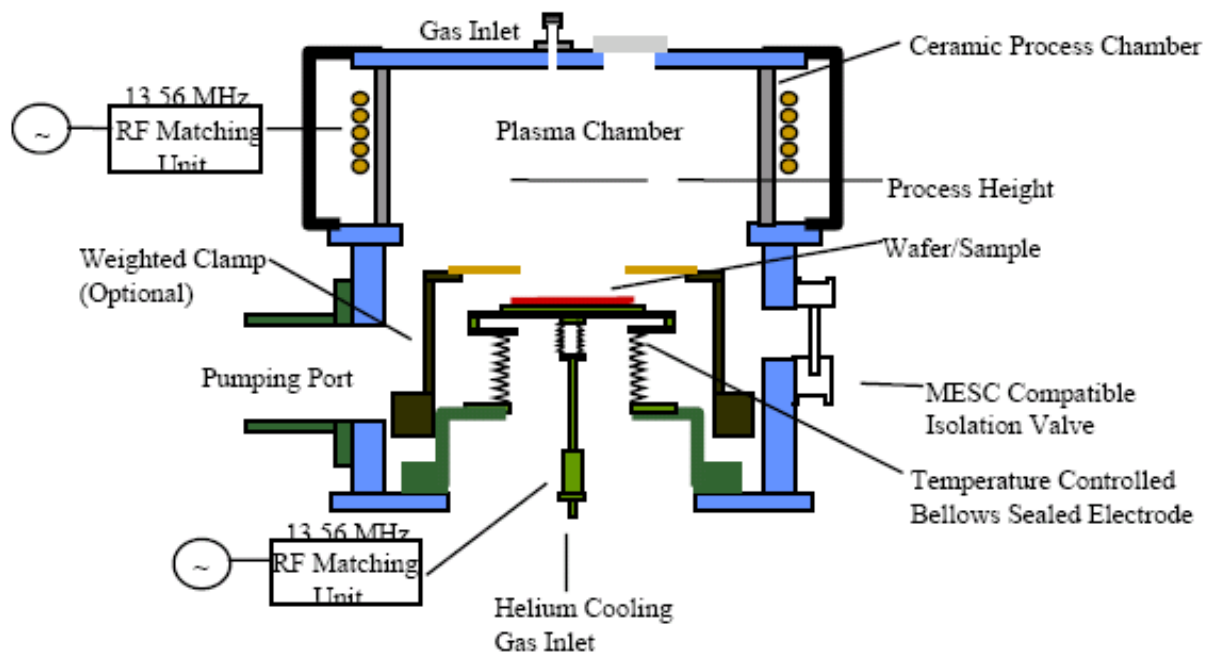
Deep Reactive Ion Etching



Deep Reactive Ion Etching

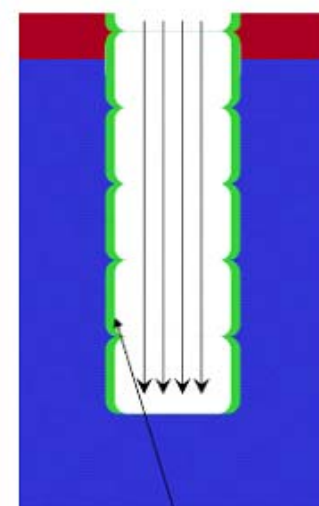
- Ballooning
Use chemistry with a good sidewall inhibitor
- Trenching
Use high pressure to increase ion-neutral scattering (ion trajectory less directional)
- Bottom Roughness
Increase vapor pressure of etching product

Surface Technology System



Etching Mechanism

- Alternating between etching and protective polymer deposition
- Etching
 - SF_6/Ar + substrate bias
- Polymerization
 - CHF_3/Ar or
 - $\text{C}_4\text{F}_8/\text{SF}_6$



Teflon like polymer
(polymerized CF_2)

HARSE Process

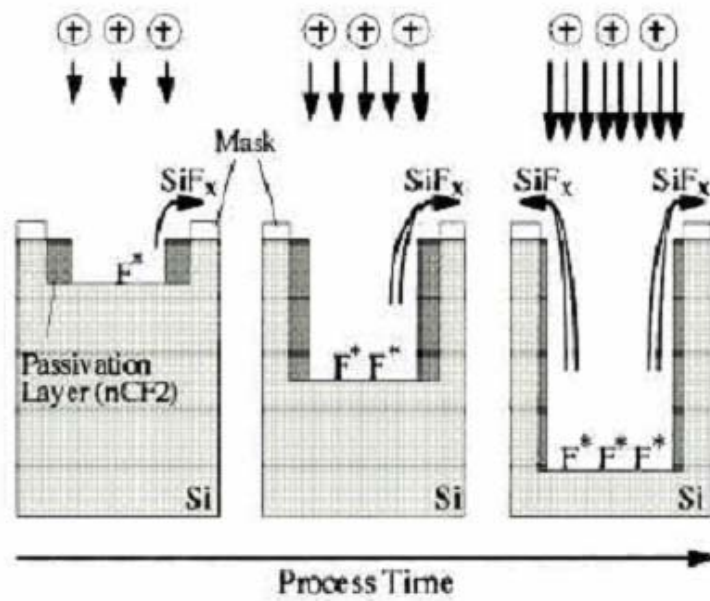
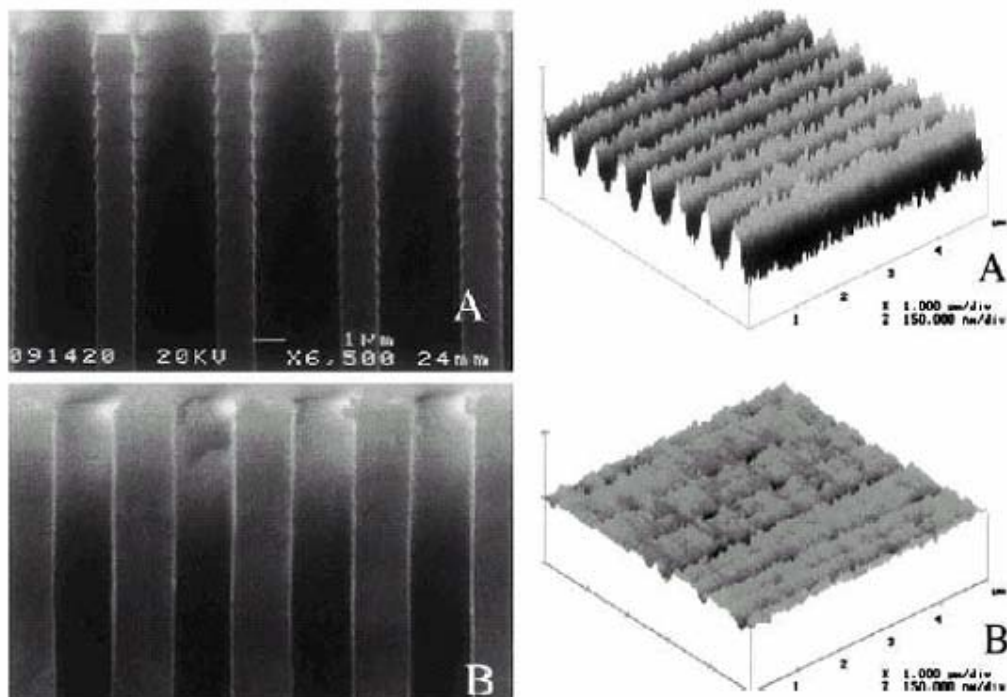
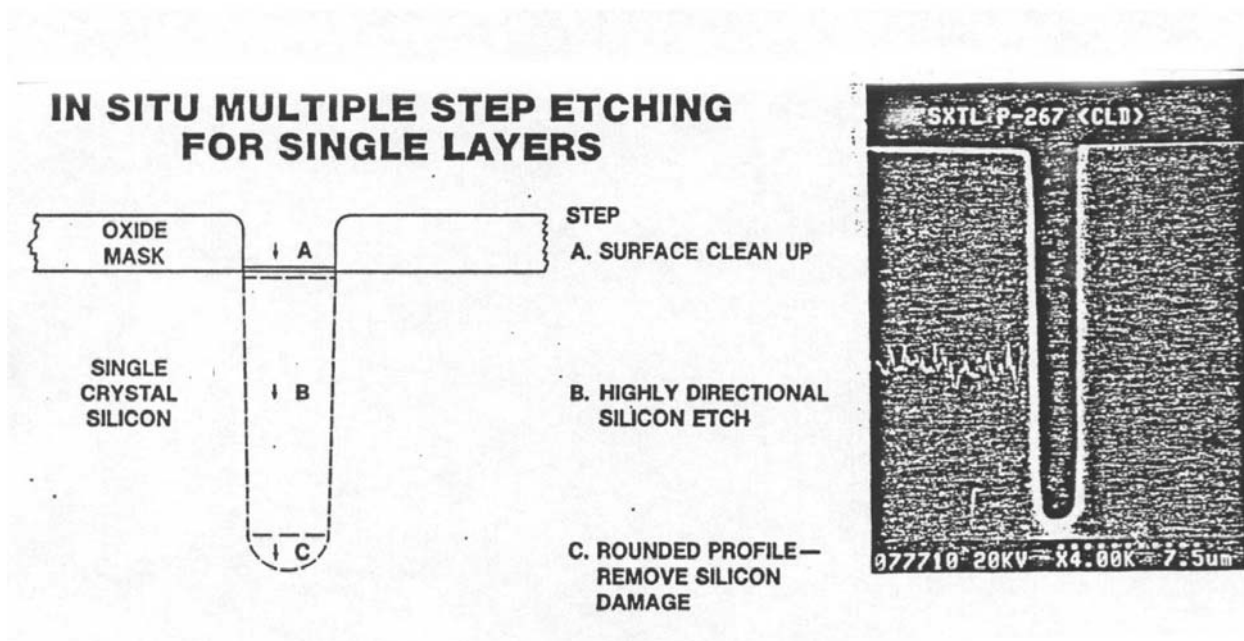


Fig. 1. Mechanism of the multiple-step HARSE process consisting of gradually increasing the silicon etch efficiency as a function of the silicon depth previously etched

HARSE Process



Solution: Multiple step RIE sequence

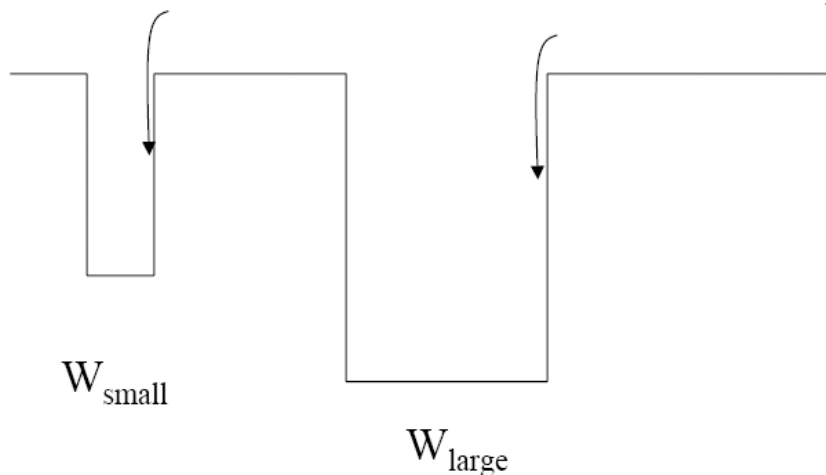


Local Loading Effect

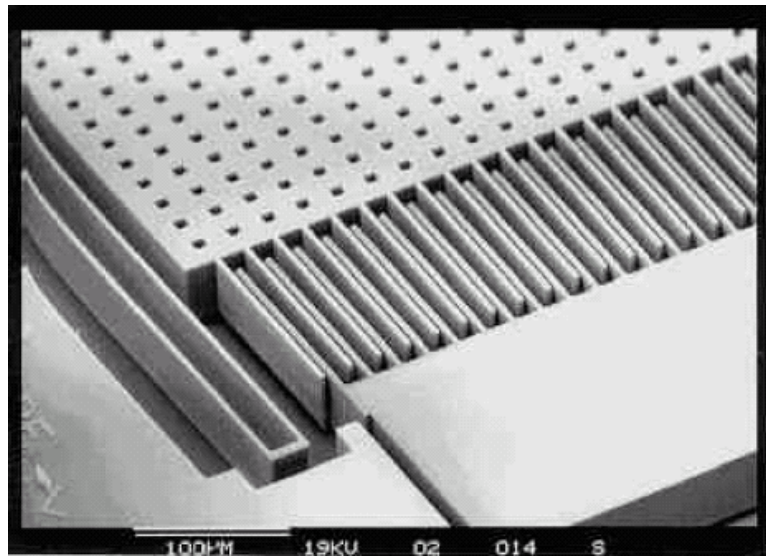
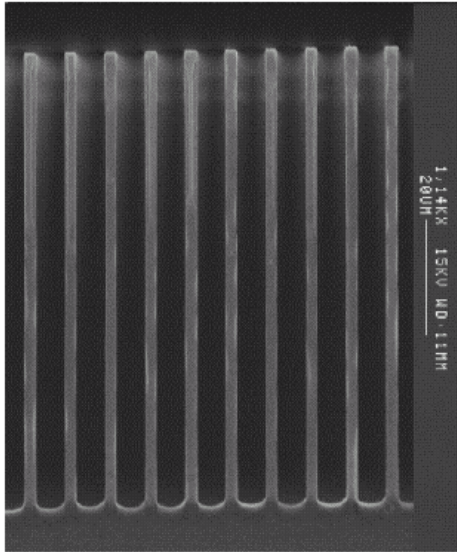
- Smaller trenches etch at a slower rate than larger trenches

Less etchant consumption

More etchant consumption



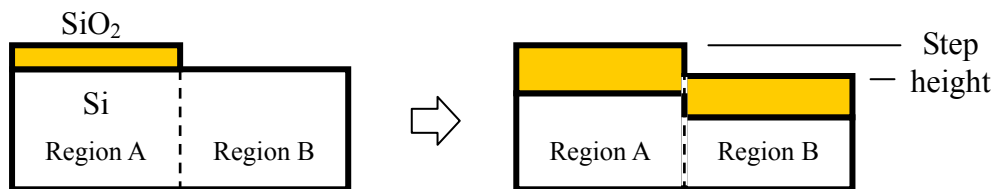
Deep Reactive Ion Etching



ESS4810 Micro System Fabrication and Experiment
Midterm Exam (Fall 2009)

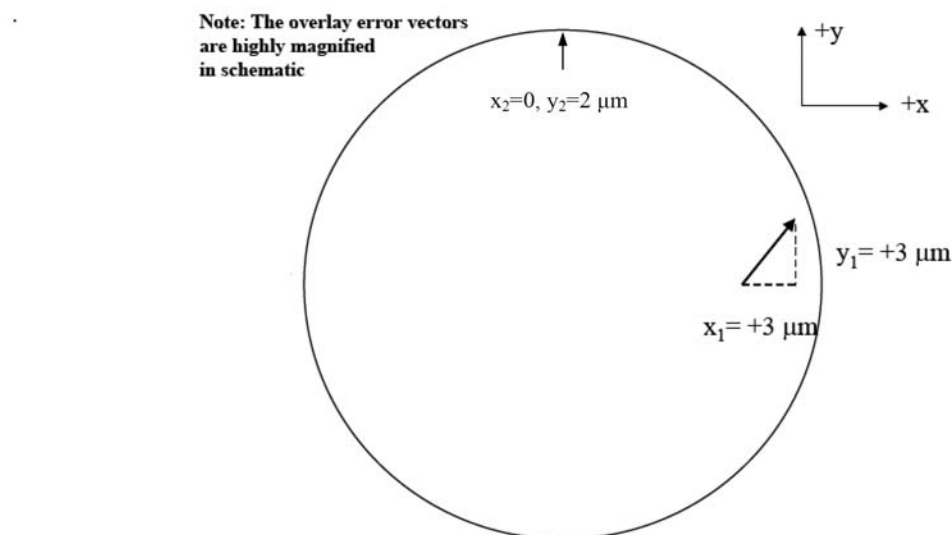
Problem 1 - Clean Room, Wafer Cleaning, and Thermal Oxidation (14%)

- (a) Why should a microfabrication clean room be environmentally controlled with respect to (1) airborne particulates and (2) lighting? (3%) Which one of the following two clean rooms has worse control over airborne particulates, class 10 or class 1000? (1%)
- (b) List 2 different ways that are capable of removing residue photoresist. (2%)
- (c) A bare silicon wafer is oxidized for 3 hours at 1200°C in dry O₂. After a series of lithography and etching steps, SiO₂ on half of the wafer is removed. The whole wafer is then re-oxidized at 1000°C in steam. The final oxide thickness in region A is measured to be 0.6 μm. Use the attached oxidation chart to estimate the final oxide thickness in Region B and the step height between A and B. (8%).



Problem 2 - Lithography (20%)

- (a) We only measure the overlay errors of the Top and Right alignment marks of a 100 mm diameter wafer.



	Top	Right	Center	Left	Bottom
x	0	+3 μm	x_t (Not measured)	Not measured	Not measured
y	2 μm	+3 μm	y_t (Not measured)	Not measured	Not measured

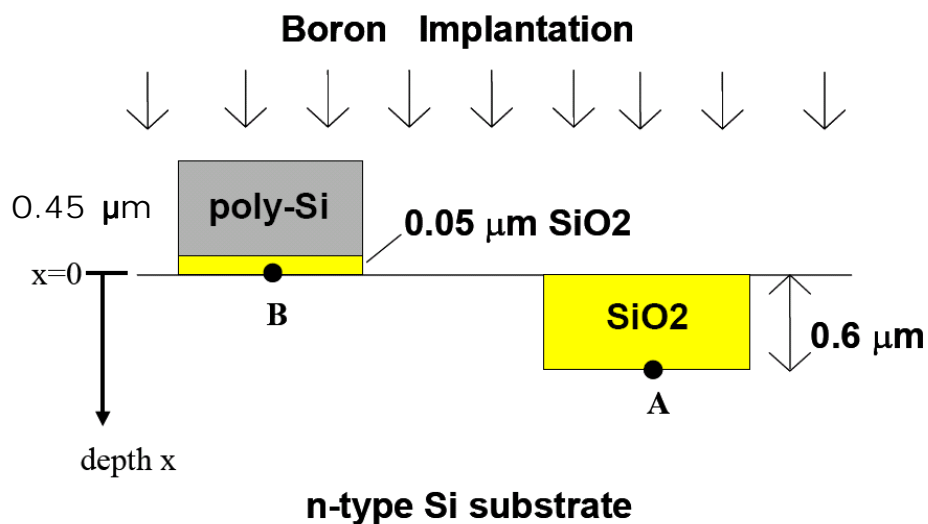
- (i) Calculate the x and y components of the translational error (x_t , y_t). (3%)

- (ii) Calculate the rotational error (also indicate CW or CCW in the answer). (2%)
- (iii) Calculate the overlay error of the Left alignment marks. (3%)
- (b) An established optical lithography process using G-line illumination ($\lambda=436$ nm) can produce a minimum printable feature ($=k \cdot \lambda/NA$) of $0.5 \mu\text{m}$ with a depth of focus ($=\lambda/2(NA)^2$) of $1 \mu\text{m}$. A new IC product requires a minimum printable feature of $0.2 \mu\text{m}$ with a depth of focus = $0.15 \mu\text{m}$. Two optical steppers are available with the following specifications:
- | | λ | NA |
|-----------|------------------------|-------|
| Stepper A | 365 nm (I-line) | N_1 |
| Stepper B | 248 nm (excimer laser) | N_2 |
- Assuming the technology factor k for minimum printable feature remains the same, what are the ranges of NAs (N_1 and N_2) that will meet both the minimum printable feature and DOF requirements? (7%)
- (c) Describe briefly what the so-called lift-off process is. (3%)
- (d) Compare positive and negative PRs in terms of resolution and sensitivity. (2%)

Problem 3 - Ion Implantation (15%)

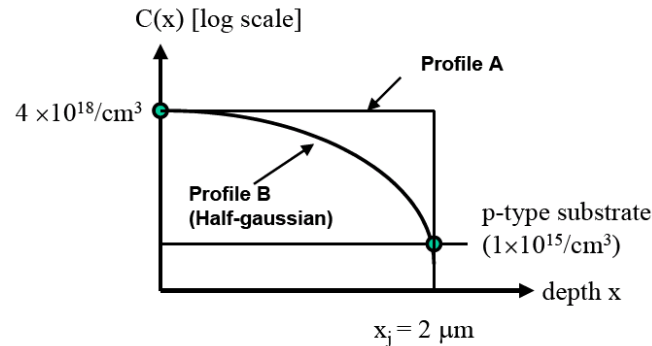
Boron implantation is performed to the following structure with a boron dose of $1 \times 10^{14}/\text{cm}^2$. The B^+ ion energy is chosen such that the boron concentration (right after implantation) at location A is a maximum. Assume that the ion stopping powers and ion scattering characteristics are identical for both silicon and silicon dioxide.

- (a) What is the required B^+ ion energy? (3%)
- (b) The post-implant heat-treatment is 1000°C for 50 minutes with a boron diffusion constant equal to $1.5 \times 10^{-6} \mu\text{m}^2/\text{sec}$. Calculate the boron concentration (after the heat treatment) at location B and A. (7%)
- (c) The n-type substrate has a uniform doping concentration of $1 \times 10^{15}/\text{cm}^3$. Calculate the junction depth underneath location B before and after the heat treatment. (5%)



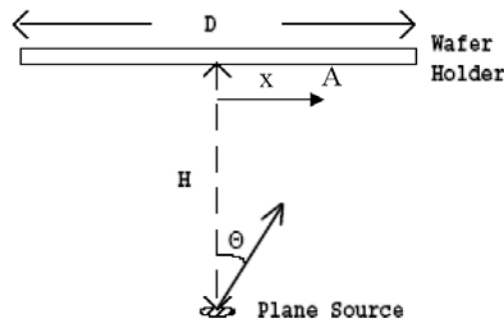
Problem 4 – Diffusion (8%)

- Explain briefly what thermal budget is. (2%)
- The following profile B is subjected to an additional drive-in step such that $(Dt)_{\text{drive-in}} = 3(Dt)_{\text{predep.}}$. Calculate the surface concentration after drive in. (4%)
- List 2 major advantages of ion implantation versus dopant diffusion. (2%)

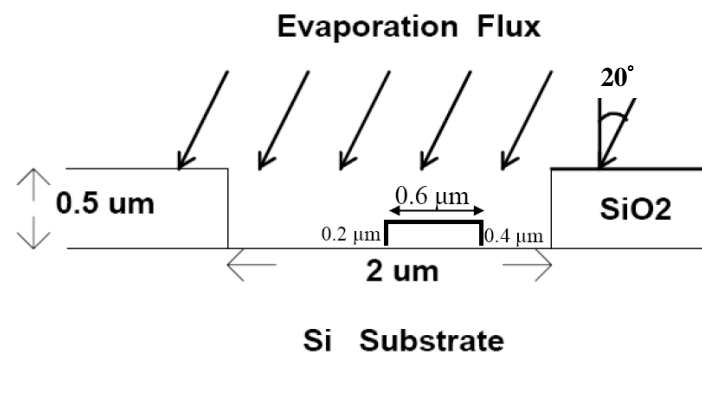


Problem 5 – Vapor Deposition (23%)

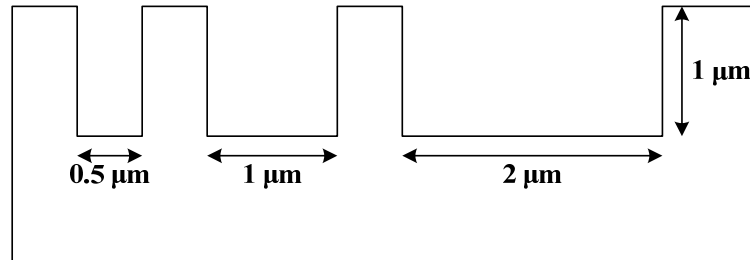
- A wafer of diameter D is placed at a height H above a small planar evaporation source. Derive an expression for the ratio of the deposited film thickness at location A to that at the center, in terms of x , D , and H . (4%)



- If the evaporation source is very far from the wafer, we can treat the evaporation fluxes to be uniform and parallel. The following contact opening has vertical SiO_2 sidewalls and the evaporating flux is making an angle of 20° with respect to the normal of the wafer's surface. If the deposition rate is $1000 \text{ \AA}/\text{min}$, sketch the cross-sectional profile of the deposited film after 5 min. (7%)



- (c) What is the working principle of (1) sputtering (2%) and (2) a cryopump? (2%)
- (d) List 2 major advantages of using CVD versus PVD for thin film deposition. (3%)
- (e) Assume a starting substrate profile shown below. A ideal conformal deposition is then performed with a deposition rate of $0.1 \mu\text{m}/\text{min}$. Sketch the cross-sections of the deposited film after 1, 2, and 4 minutes of deposition. (5%)



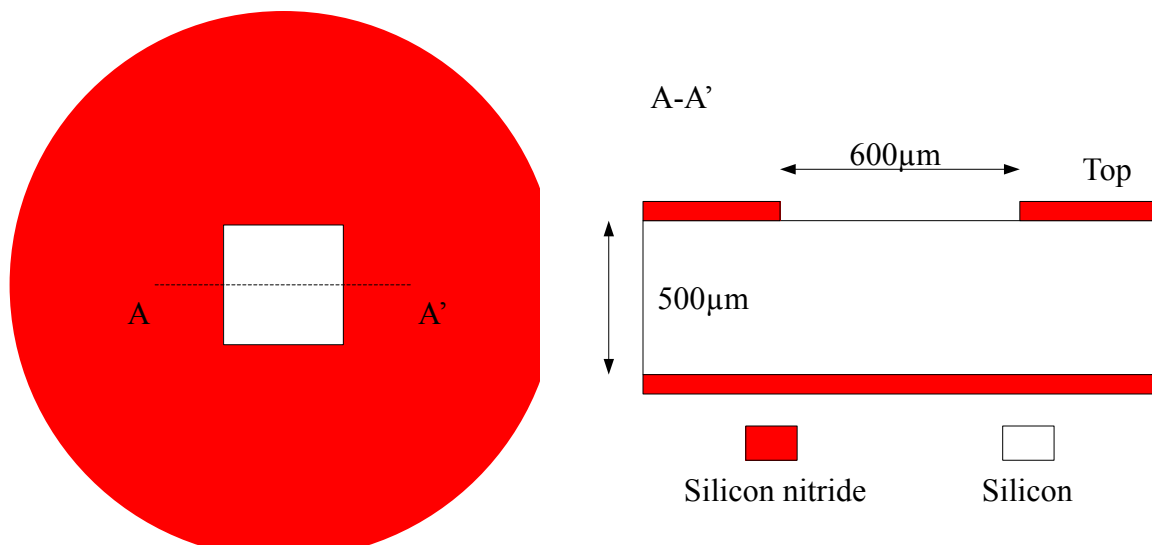
Problem 6 – Etching (21%)

The (100) wafer shown below has nitride masks on its top and bottom surfaces.

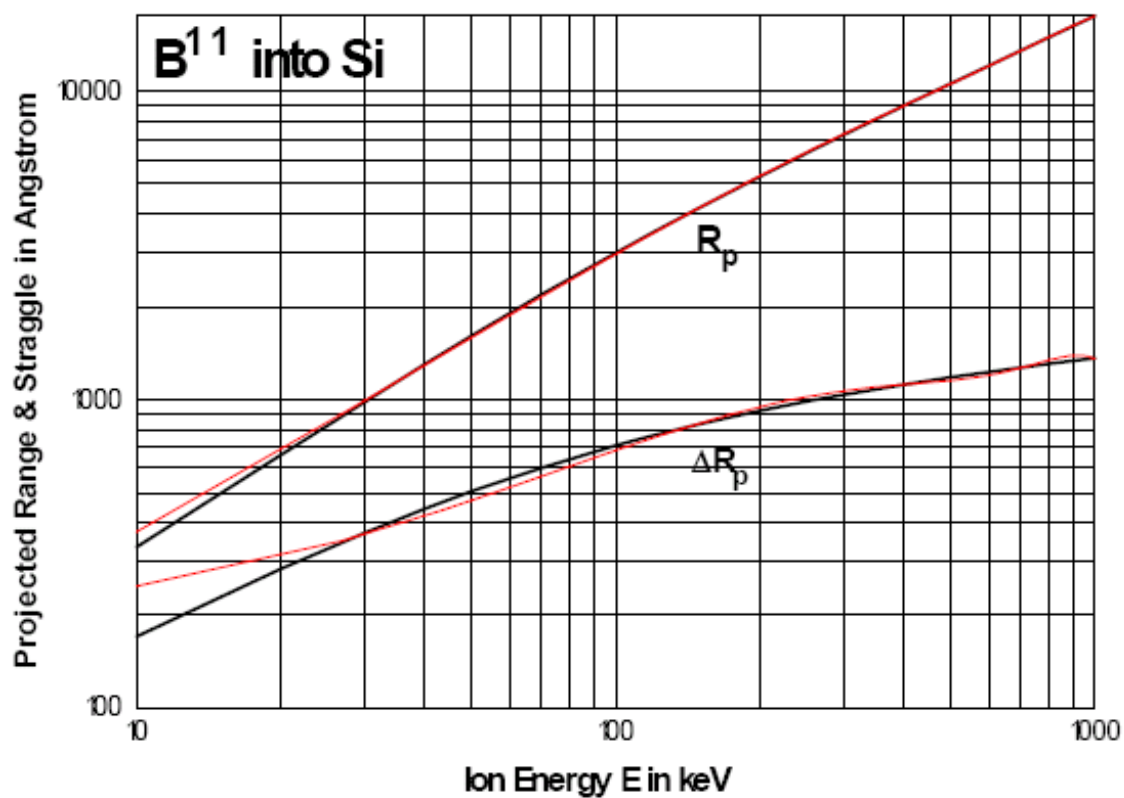
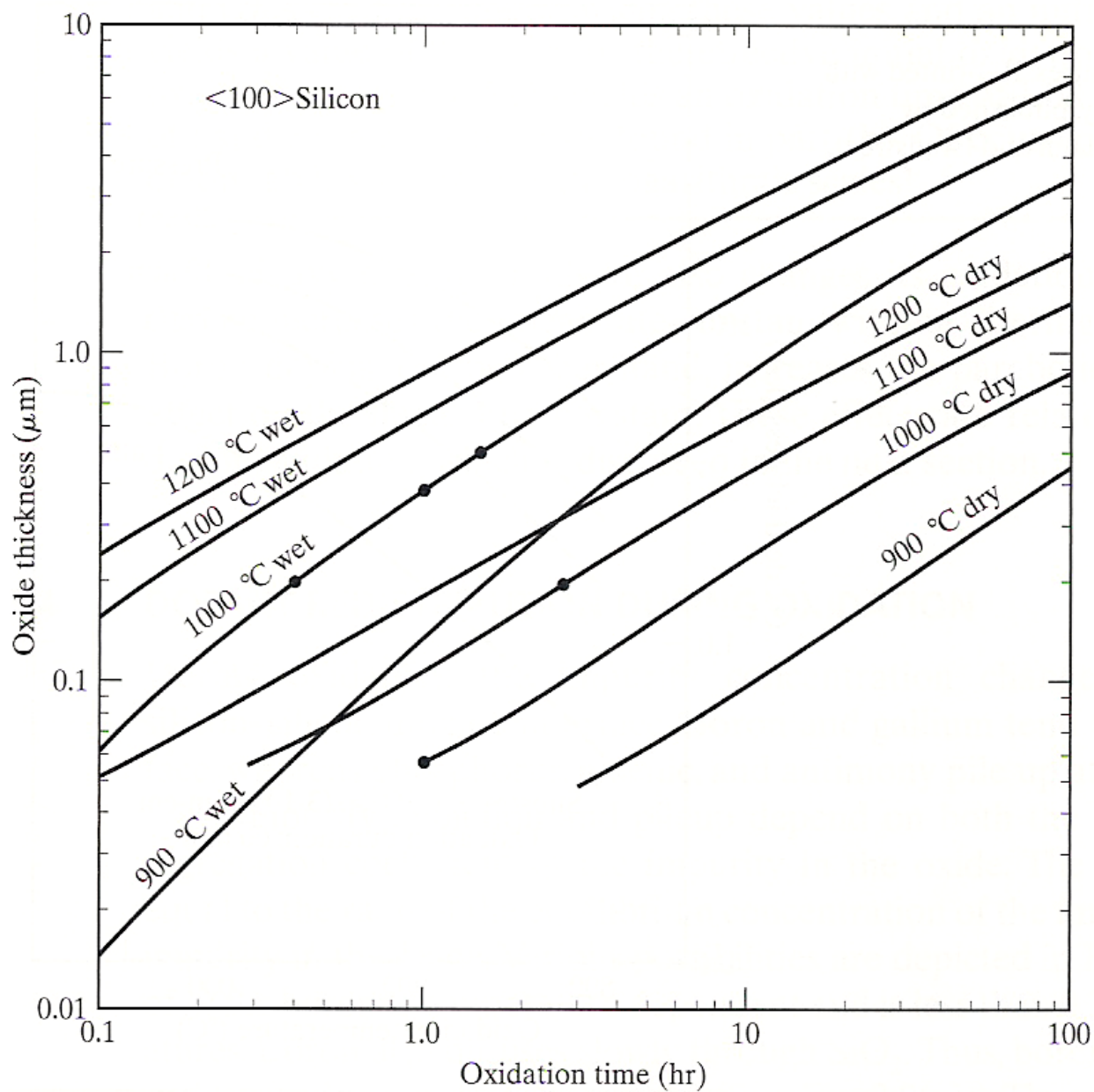
- (1) With KOH, the etch selectivity between the $\{100\}$ and $\{111\}$ planes is 400:1 (use ∞ :1 in your calculation), and the $\{100\}$ etch rate is $1.4 \mu\text{m}/\text{min}$.
- (2) With TMAH, the etch selectivity between the $\{100\}$ and $\{111\}$ planes is 40:1, and the $\{100\}$ etch rate is $1.2 \mu\text{m}/\text{min}$.
- (3) With HNA, the isotropic etch rate is $1 \mu\text{m}/\text{min}$.

For anisotropic etching, assume that the nitride layers and all other silicon crystal planes are not etched. Sketch the wafer cross sections after 200 minutes of etching (3%) and determine the following dimensions after etching in the all three cases:

- (a) Silicon etch depth (3%)
- (b) Silicon etch width at top (6%)
- (c) Silicon etch width at bottom (3%)



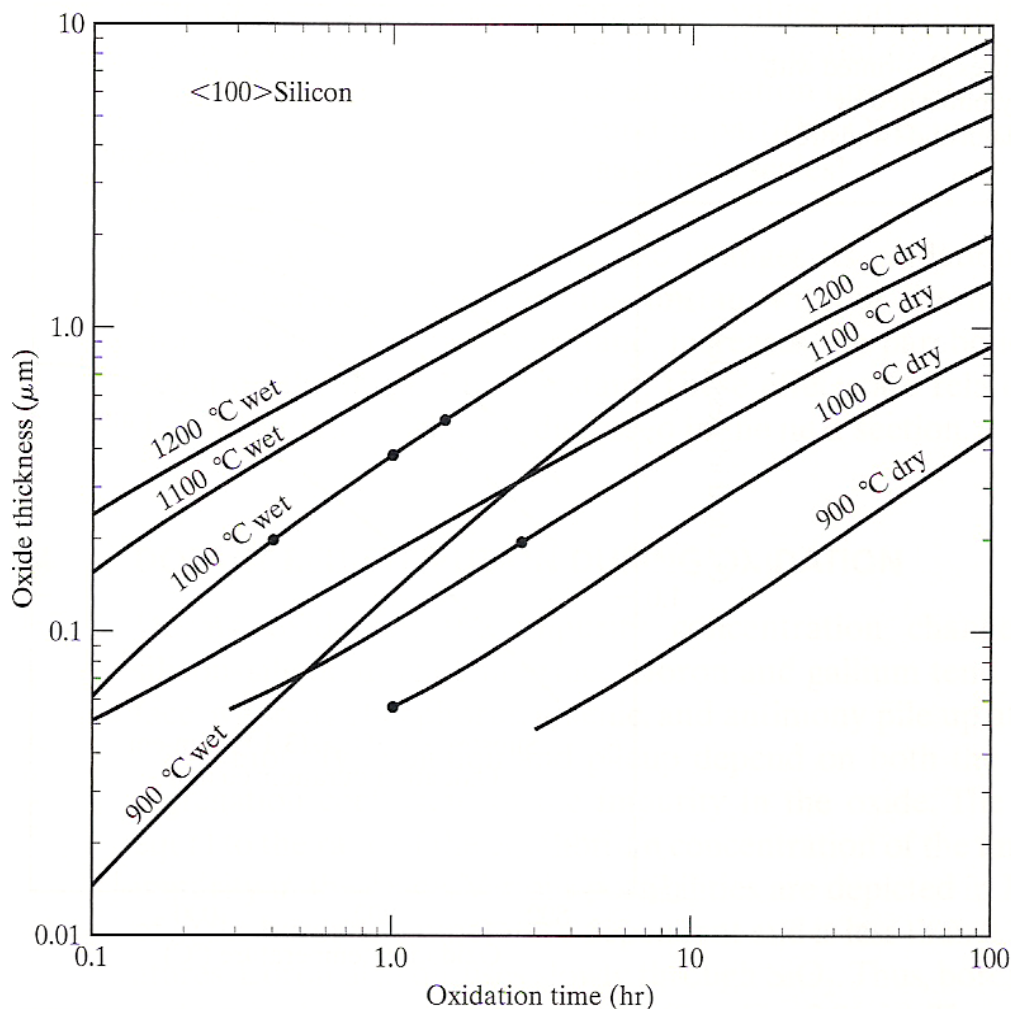
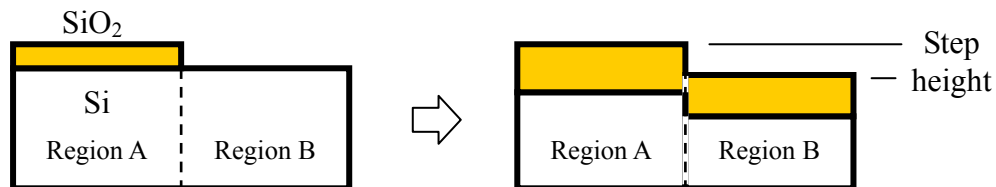
- (d) Compare high-pressure plasma and reactive ion etching in terms of their reaction mechanisms. (3%) How to realize ideal high aspect ratio etching? (3%)



ESS4810 Micro System Fabrication and Experiment
Midterm Exam (Fall 2008)

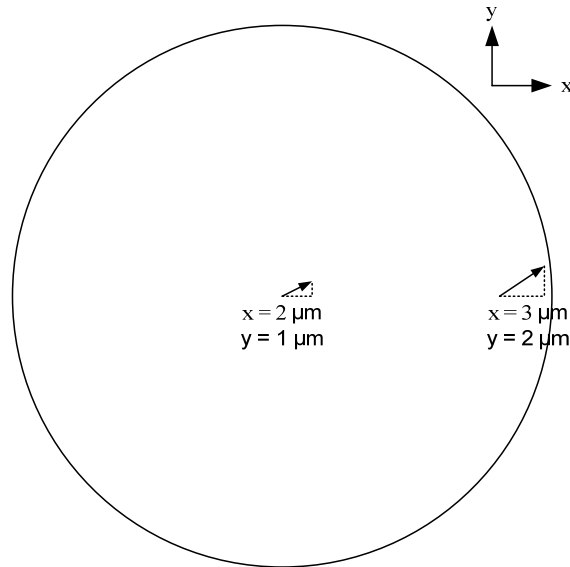
Problem 1 - Clean Room, Wafer Cleaning, and Thermal Oxidation (20%)

- (a) A microfabrication clean room should be environmentally controlled with respect to airborne particulates and what else? List at least 3 of them. (4.5%) Which one of the following two clean rooms has better control over airborne particulates, class 1 or class 100? (2%)
- (b) List at least 2 different ways that is capable of removing residue photoresist. (4%)
- (c) A bare Si {100} wafer is oxidized for 40 minutes at 900°C in steam. After a series of lithography and etching steps, SiO₂ on half of the wafer is removed. The whole wafer is then re-oxidized in dry O₂ at 1100°C. The final oxide thickness in region A is measured to be 0.2 μm. Use the attached oxidation chart to estimate the final oxide thickness in Region B and the step height between Region A and B. (9.5%)



Problem 2 – Lithography (24%)

- (a) We only measure the overlay errors of the Center and Right alignment marks of a 100 mm diameter wafer.



	Top	Right	Center	Left	Bottom
x	N/A	3 μm	2 μm	x_1	N/A
y	N/A	2 μm	1 μm	y_1	N/A

- Calculate the thermal run in (or out) error. (3%)
 - Calculate the rotational error (also indicate clockwise or counterclockwise in your answer). (3%)
 - Calculate the overlay errors of the Left alignment marks. (3%)
- (b) An established optical lithography process using G-line illumination ($\lambda=436 \text{ nm}$) can produce a minimum printable feature ($=k \cdot \lambda/\text{NA}$) of $0.5 \mu\text{m}$ with a Depth of Focus ($=\lambda/2(\text{NA})^2$) of $1 \mu\text{m}$. A new IC product requires a minimum printable feature of $0.2 \mu\text{m}$ with a Depth of Focus = $0.15 \mu\text{m}$. Three optical steppers are available with the following specifications:

	λ	NA
Stepper A	365 nm (I-line)	0.7
Stepper B	248 nm (excimer laser)	0.85
Stepper C	193 nm (ArF)	0.85

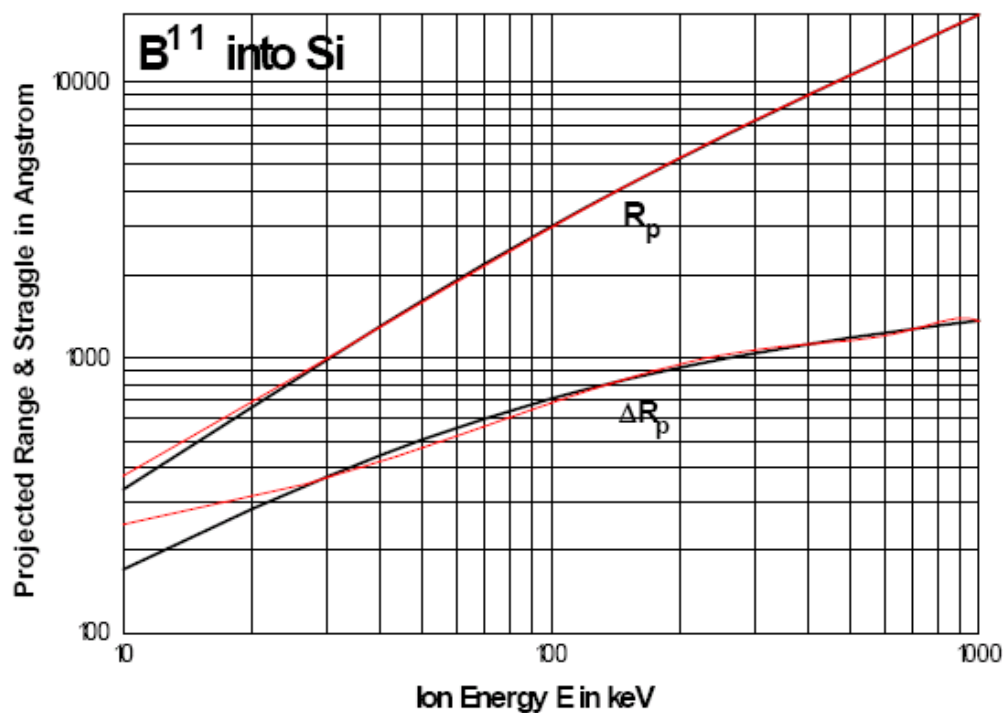
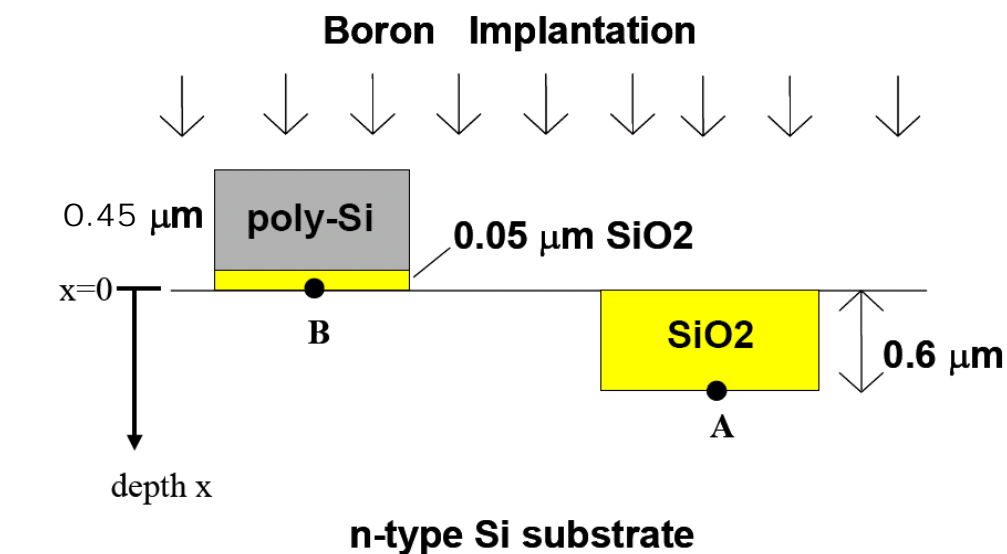
Assuming the technology factor k for minimum feature remains the same, which stepper will meet both the minimum feature and DOF requirements? Show calculations to justify your choice. (10%)

- Describe briefly what the so-called lift-off process is. (3%)
- List at least 2 differences between positive and negative photo-resists. (2%)

Problem 3 - Ion Implantation (17%)

Boron implantation is performed to the following structure with a boron dose of $8 \times 10^{13}/\text{cm}^2$. The B^+ ion energy is chosen such that the boron concentration (right after implantation) at location B is a maximum. Assume that the ion stopping powers and ion scattering characteristics are identical for both silicon and silicon dioxide.

- What is the required B^+ ion energy? (3%)
- The post-implant heat-treatment is 1000°C for 40 minutes with a boron diffusion constant equal to $1.8 \times 10^{-6} \mu\text{m}^2/\text{sec}$. Calculate the boron concentration (after the heat treatment) at location B and A. (10%)
- The n-type silicon substrate has a uniform doping concentration of $1 \times 10^{15}/\text{cm}^3$. Calculate the junction depth x_j underneath location A. (4%)

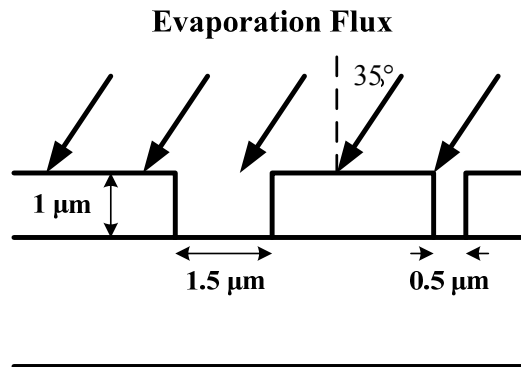


Problem 4 – Diffusion (8%)

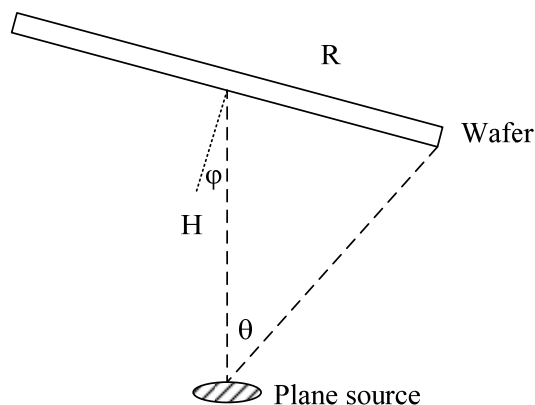
- (a) Explain briefly what thermal budget is. (3%)
- (b) A boron pre-deposition step is performed into an n-type Si substrate at 1000°C. The solid solubility of boron in silicon is known to be $3.5 \times 10^{20}/\text{cm}^3$ at 1000°C and the incorporated boron dose Q is $1 \times 10^{15}/\text{cm}^2$. What is the junction depth x_j of the pre-deposition profile if the n-type substrate has a background concentration of $10^{15}/\text{cm}^3$? (5%)

Problem 5 – Physical Vapor Deposition (19%)

- (a) If the evaporation source is very far from the wafer, we can treat the evaporation fluxes to be uniform and parallel. The following contact opening has vertical SiO_2 sidewalls and the evaporating flux is making an angle of 35° with respect to the normal of the wafer's surface. If the deposition rate is $1200 \text{ \AA}/\text{min}$, sketch the cross-sectional profile of the deposited film after 5 min. (5%)



- (b) A Si wafer of 10 cm diameter with a tilting angle (ϕ) of 5° is placed at a height of 30 cm above a planar evaporation source. Calculate the ratio of the deposited thickness at the center to that at the edge of the wafer. (7%)



- (c) What is the working principle of sputtering? (3%) What are the advantages of sputtering over evaporation? (2%)
- (d) Which one of the following two pumps can achieve higher vacuum, diaphragm pump or turbomolecular pump? (2%)

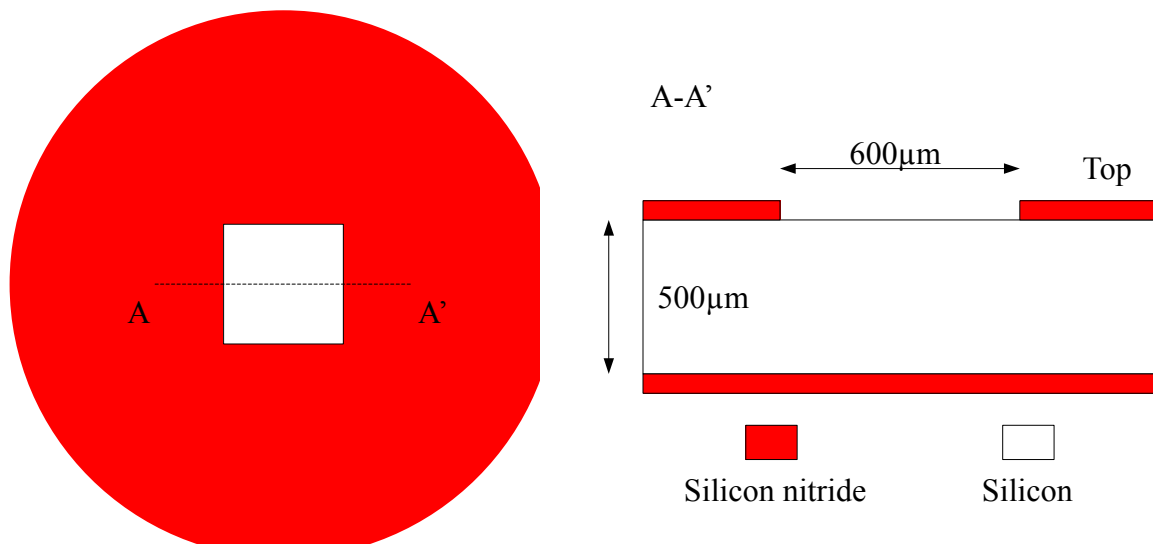
Problem 6 – Etching (18%)

The silicon (100) wafer shown below has patterned silicon nitride masks on its top and bottom surfaces.

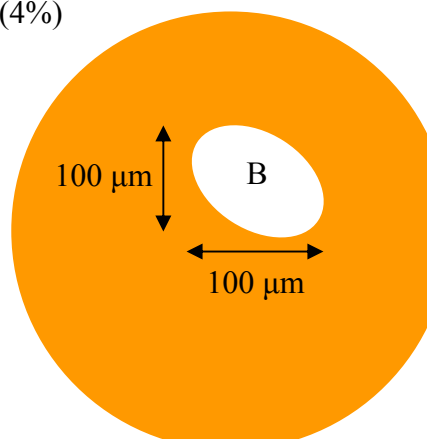
- (1) With KOH, the etch selectivity between the $\{100\}$ and $\{111\}$ planes is 400:1 (use ∞ :1 in your calculation), and the $\{100\}$ etch rate is $1.4 \mu\text{m}/\text{min}$.
- (2) With TMAH, the etch selectivity between the $\{100\}$ and $\{111\}$ planes is 40:1, and the $\{100\}$ etch rate is $1.2 \mu\text{m}/\text{min}$.

Assuming that the silicon nitride layers and all other silicon crystal planes are not etched, sketch the wafer cross sections after 300 minutes of etching (3%) and determine the following dimensions after etching in both KOH and TMAH cases:

- (a) Silicon etching depth (2%)
- (b) Silicon etch width at top (5%)
- (c) Silicon etch width at bottom (4%)



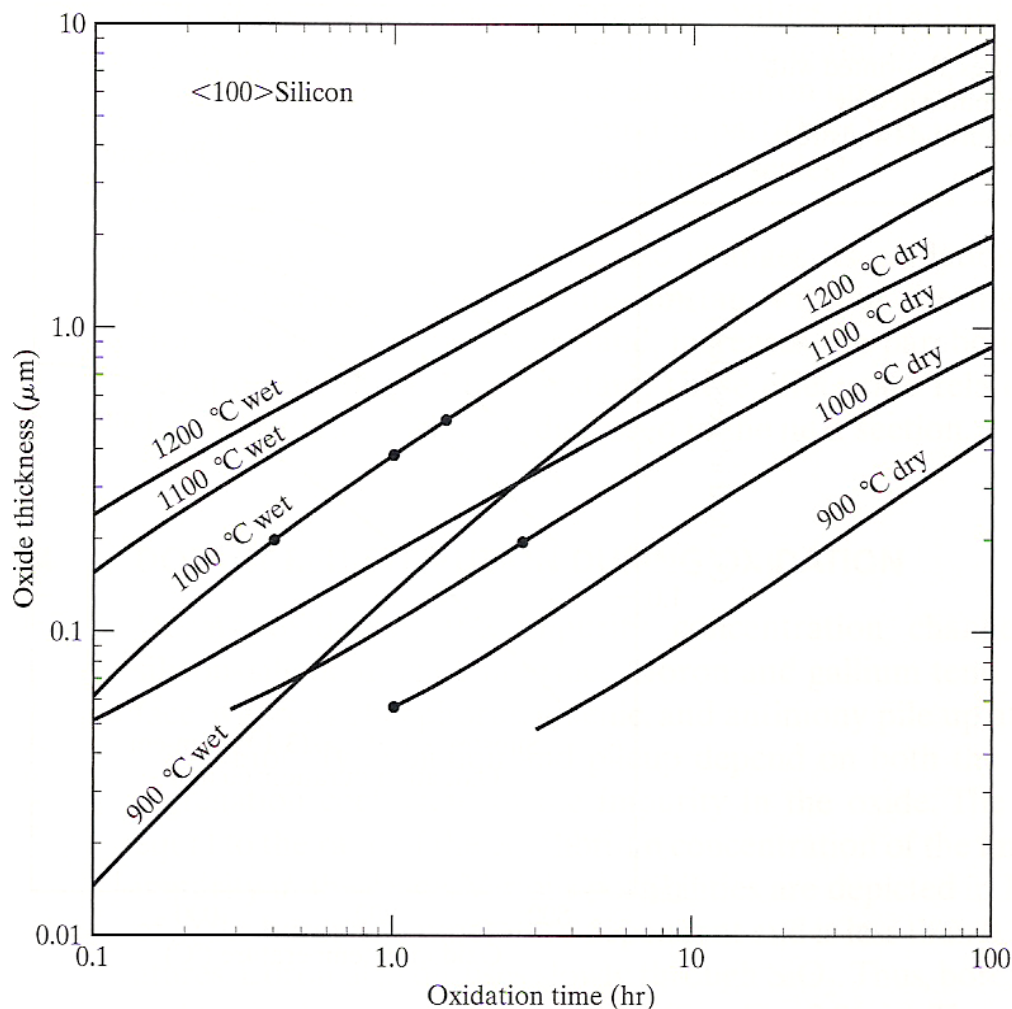
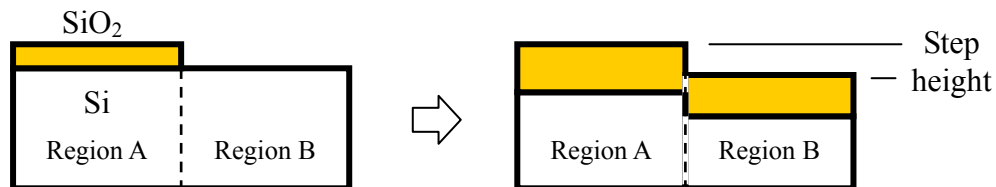
- (d) In case there is an elliptic opening B on the top nitride mask, what will be the final shape of the cavity after 300 minutes of KOH etching? (Sketch both the top and cross-sectional views.) (4%)



ESS4810 Micro System Fabrication and Experiment
Midterm Exam (Fall 2007)

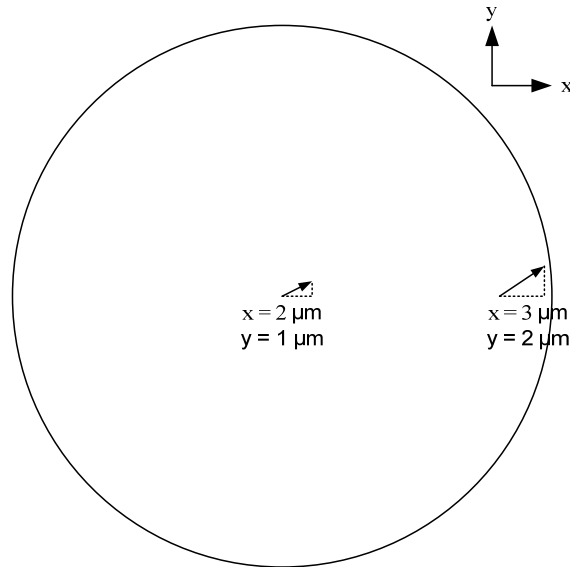
Problem 1 - Clean Room, Wafer Cleaning, and Thermal Oxidation (20%)

- (a) List at least 3 basic requirements for a microfabrication clean room. (3%) Which one of the following two clean rooms has better control over airborne particulates, class 1 or class 100? (1.5%)
- (b) List at least 3 major functions of SiO_2 in microfabrication. (2.5%)
- (c) List at least 2 advantages of wet wafer cleaning over dry wafer cleaning. (2%)
- (d) A bare Si {100} wafer is oxidized for 40 minutes at 900°C in steam. After a series of lithography and etching steps, SiO_2 on half of the wafer is removed. The whole wafer is then re-oxidized in dry O_2 at 1100°C . The final oxide thickness in region A is measured to be $0.2\ \mu\text{m}$. Use the attached oxidation chart to estimate the final oxide thickness in Region B and the step height between Region A and B. (11%)



Problem 2 – Lithography (24%)

- (a) We only measure the overlay errors of the Center and Right alignment marks of a 100 mm diameter wafer.



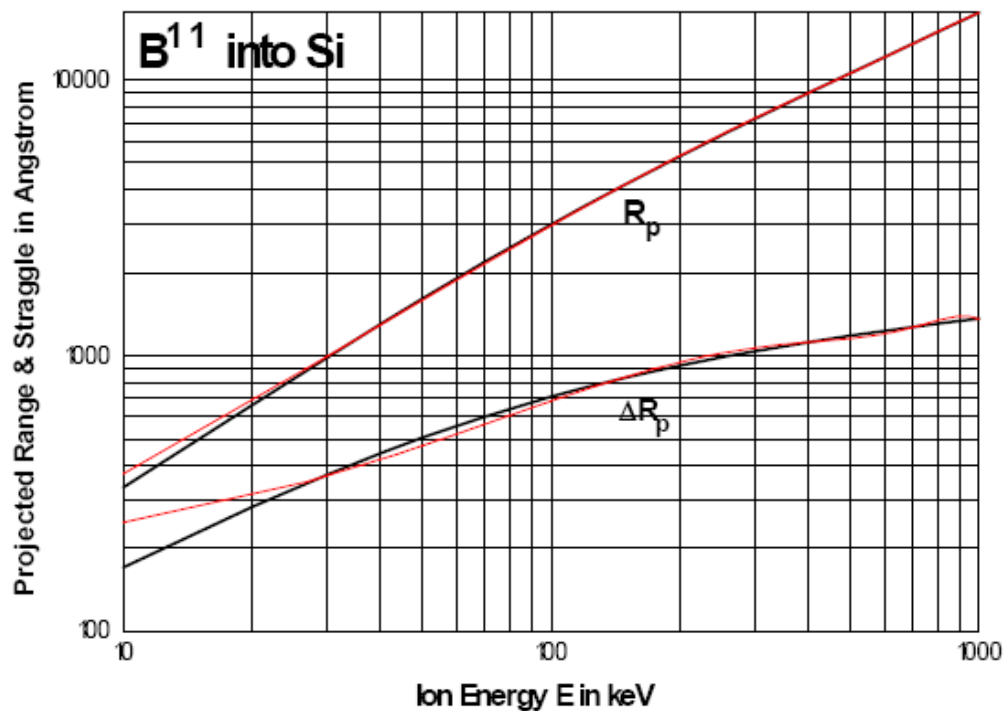
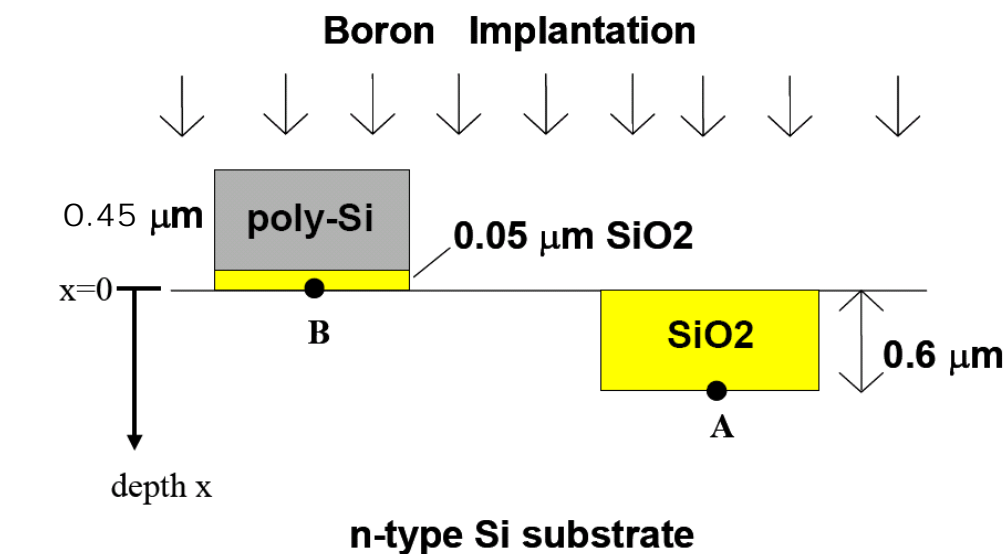
	Top	Right	Center	Left	Bottom
x	N/A	3 μm	2 μm	x_1	x_2
y	N/A	2 μm	1 μm	y_1	y_2

- (i) Calculate the thermal run in (or out) error. (3%)
 - (ii) Calculate the rotational error (also indicate clockwise or counterclockwise in your answer). (3%)
 - (iii) Calculate the overlay errors of the Left and Bottom alignment marks. (6%)
- (b) For projection lithography, the printable resolution R equals to $k\lambda/(\text{NA})$ and the depth of focus DOF equals to $\lambda/2(\text{NA})^2$. The k-factor is known as the technology factor since it depends on both diffraction and resist effects. Suppose we improve on the resist technology such that k is reduced by 25%. For fixed λ and R , calculate the percentage of change in DOF. (3%)
- (c) What is the typical procedure of a photo-lithography process? (3%)
- (d) List at least 2 differences between positive and negative photo-resists. (2%)
- (e) Describe briefly what the so-called lift-off process is. (4%)

Problem 3 - Ion Implantation (17%)

Boron implantation is performed to the following structure with a boron dose of $5 \times 10^{13}/\text{cm}^2$. The B^+ ion energy is chosen such that the boron concentration at location B is a maximum. For simplicity, let us assume the ion stopping powers and ion scattering characteristics are identical for both silicon and silicon dioxide.

- (a) What is the required B^+ ion energy? (3%)
- (b) The post-implant heat-treatment is 1000°C for 30 minutes with a boron diffusion constant equal to $1.6 \times 10^{-6} \mu\text{m}^2/\text{sec}$. Calculate the boron concentration at location B and A. (10%)
- (c) The n-type silicon substrate has a uniform doping concentration of $2 \times 10^{15}/\text{cm}^3$. Calculate the junction depth x_j underneath location A. (4%)

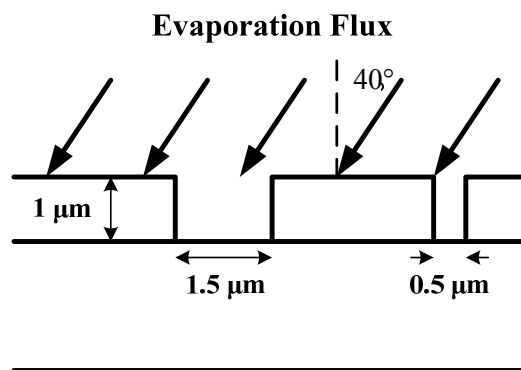


Problem 4 – Diffusion (9%)

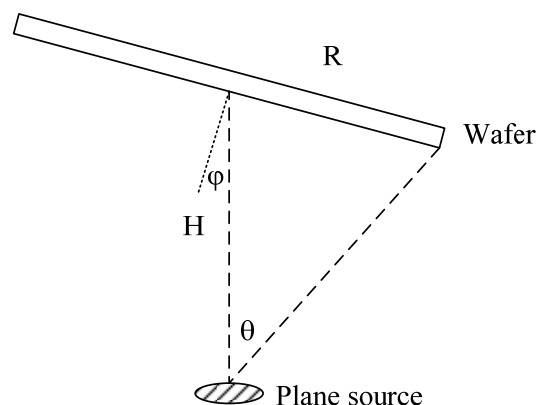
- (a) Describe briefly the two-step diffusion process. (2%) List 2 disadvantages of diffusion over ion implantation (2%)
- (b) A boron pre-deposition step is performed into an n-type Si substrate at 1000°C . The solid solubility of boron in silicon is known to be $3.5 \times 10^{20}/\text{cm}^3$ at 1000°C and the incorporated boron dose Q is $2 \times 10^{15}/\text{cm}^2$. What is the junction depth x_j of the pre-deposition profile if the n-type substrate has a background concentration of $10^{15}/\text{cm}^3$? (5%)

Problem 5 – Evaporation (20%)

- (a) If the evaporation source is very far from the wafer, we can treat the evaporation fluxes to be uniform and parallel. The following contact opening has vertical SiO_2 sidewalls and the evaporating flux is making an angle of 40° with respect to the normal of the wafer's surface. If the deposition rate is $1000 \text{ \AA}/\text{min}$, sketch the cross-sectional profile of the deposited film after 5 min. (5%)



- (b) A Si wafer of 10 cm diameter with a tilting angle (ϕ) of 10° is placed at a height of 30 cm above a planar evaporation source. Calculate the ratio of the deposited thickness at the center to that at the edge of the wafer. (7%)



- (c) What are the working principles of evaporation and sputtering? (4%) Why must these processes be operated under vacuum? (2%)
- (d) List 2 methods for high vacuum measurement. (2%)

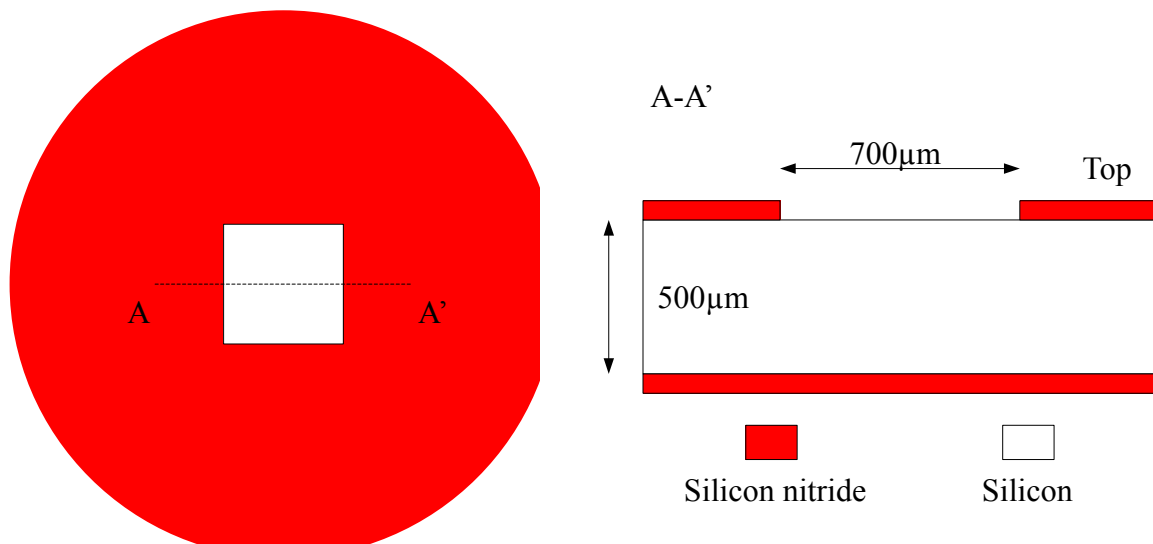
Problem 6 – Etching (20%)

The silicon (100) wafer shown below has patterned silicon nitride masks on its top and bottom surfaces.

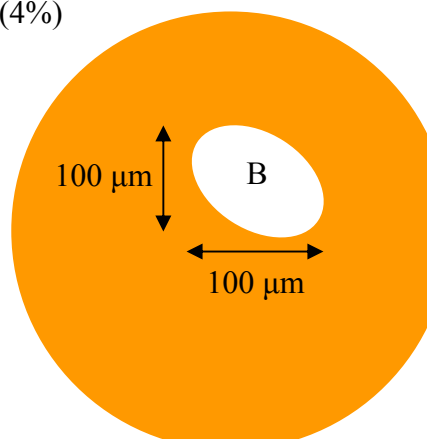
- (1) With KOH, the etch selectivity between the $\{100\}$ and $\{111\}$ planes is 400:1 (use ∞ :1 in your calculation), and the $\{100\}$ etch rate is $1.5 \mu\text{m}/\text{min}$.
- (2) With TMAH, the etch selectivity between the $\{100\}$ and $\{111\}$ planes is 30:1, and the $\{100\}$ etch rate is $1.2 \mu\text{m}/\text{min}$.

Assuming that the silicon nitride layers and all other silicon crystal planes are not etched, sketch the wafer cross sections after 250 minutes of etching (4%) and determine the following dimensions after etching in both KOH and TMAH cases:

- (a) Silicon etching depth (2%)
- (b) Silicon etch width at top (5%)
- (c) Silicon etch width at bottom (5%)



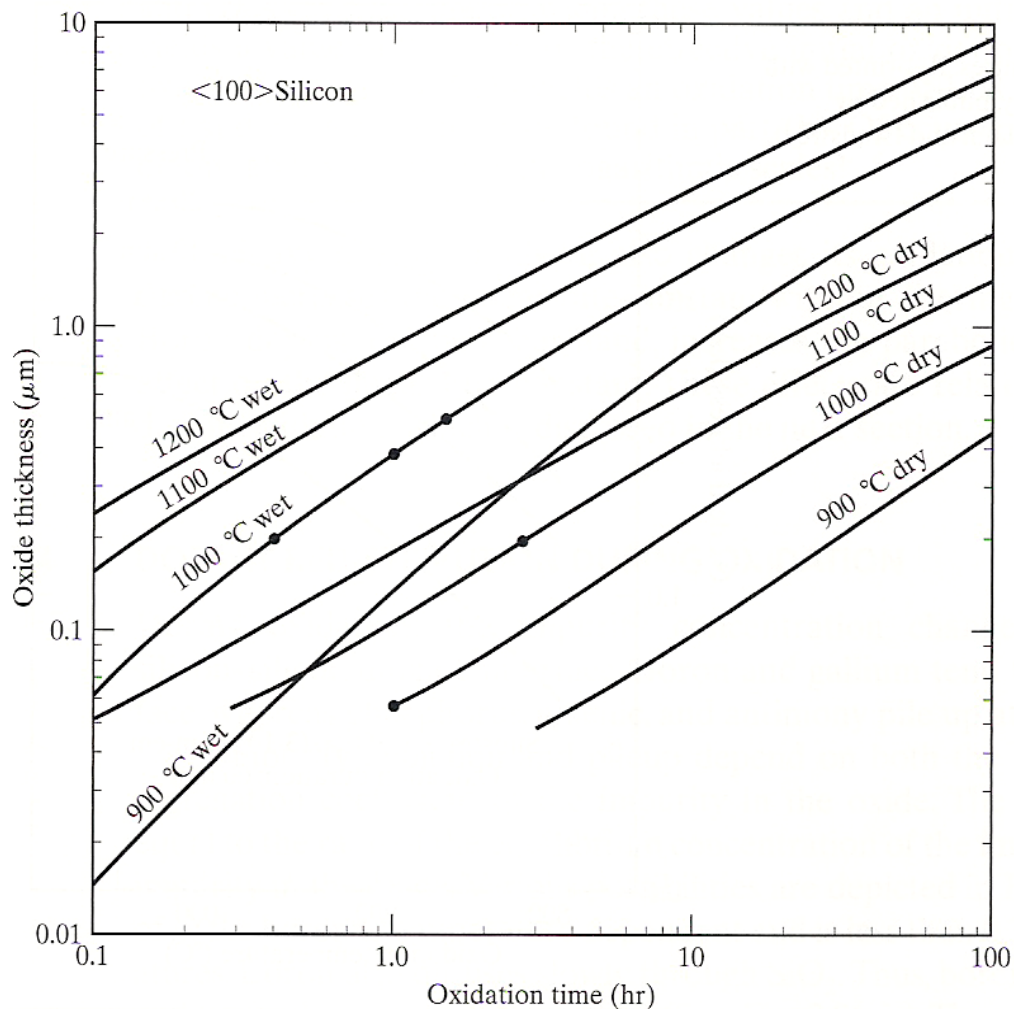
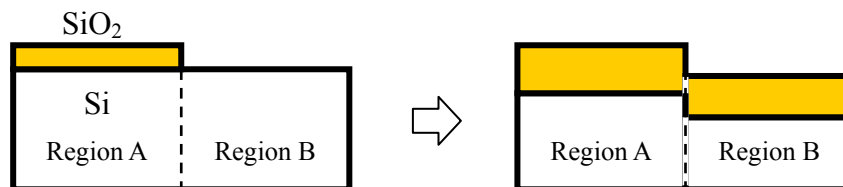
- (d) In case there is an elliptic opening B on the top nitride mask, what will be the final shape of the cavity after 300 minutes of KOH etching? (Sketch both the top and cross-sectional views.) (4%)



ESS4810 Micro System Fabrication and Experiment
Midterm Exam (Fall 2006)

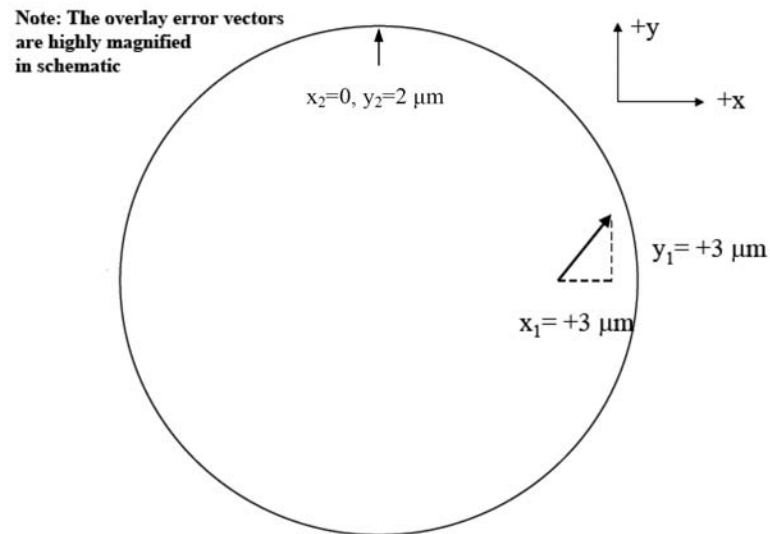
Problem 1 - Clean Room, Wafer Cleaning, and Thermal Oxidation (18%)

- (a) What are the requirements for a microfabrication clean room? (3%) For what purposes? (2%)
- (b) What are the major functions of SiO₂ in micro system fabrication? (3%)
- (c) List 2 methods for wafer cleaning. (2%)
- (d) A bare Si (100) wafer is oxidized for 3 hours at 1200°C in dry O₂. After a lithographic and etching step SiO₂ on half of the wafer is removed. The whole wafer is then re-oxidized in steam at 1000°C. The final oxide thickness in region A is measured to be 1 μm. Use the oxidation chart to estimate the oxide thickness in Region B. (8%)



Problem 2 – Lithography (17%)

- (a) We only measure the overlay errors for the Right and Top alignment marks near the edge of a 100 mm diameter wafer.



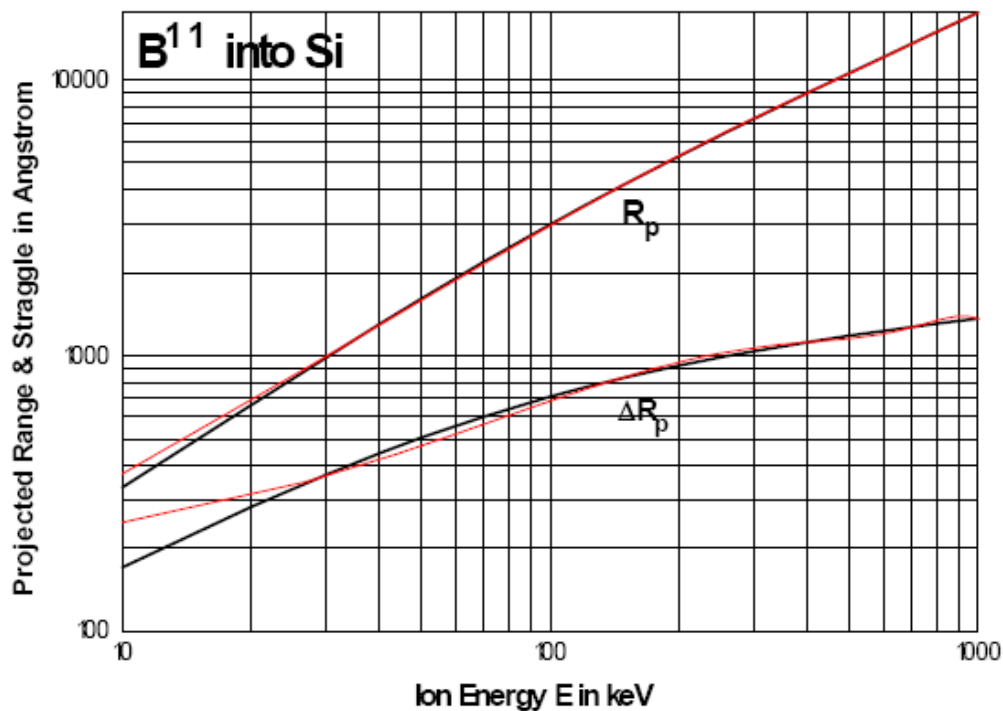
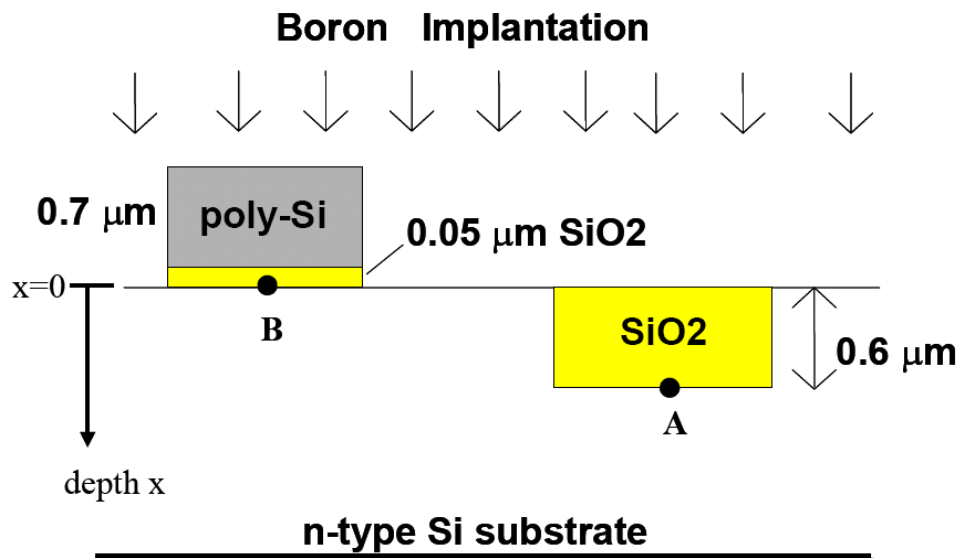
	Top	Right	Center	Left	Bottom
x	0	$+3 \mu\text{m}$	x_t (Not measured)	Not measured	Not measured
y	$2 \mu\text{m}$	$+3 \mu\text{m}$	y_t (Not measured)	Not measured	Not measured

- Calculate the x and y components of the translational error (x_t, y_t). (5%)
 - Calculate the thermal run in / run out error. (3%)
 - Calculate the rotational error (also indicate clockwise or counterclockwise in your answer). (3%)
- (b) What is the typical procedure of a photo-lithography process? (3%)
- (c) What are the differences between positive and negative photo-resists? (3%)

Problem 3 - Ion Implantation (19%)

Boron implantation is performed to the following structure with a boron dose of $2.5 \times 10^{13}/\text{cm}^2$. The B^+ ion energy is chosen such that the boron concentration at location B is a maximum. For simplicity, let us assume the ion stopping powers and ion scattering characteristics are identical for both silicon and silicon dioxide.

- What is the B^+ ion energy? (3%)
- Calculate the boron concentration at location B and A. (8%)
- The n-type silicon substrate has a uniform doping concentration of $2 \times 10^{15}/\text{cm}^3$. Calculate the junction depth x_j underneath location A. (4%)
- What are the advantages of ion implantation over diffusion? (4%)

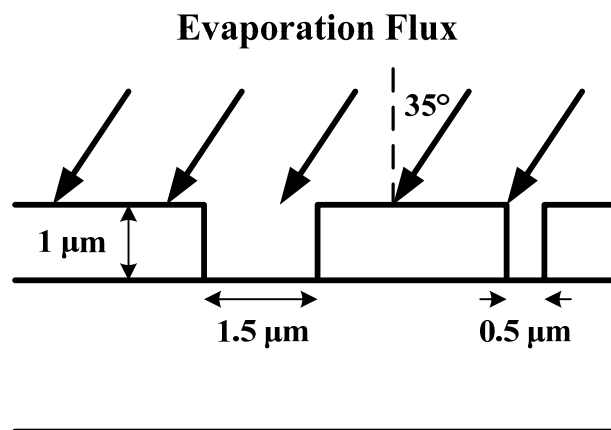


Problem 4 – Diffusion (14%)

- (a) Describe the two-step diffusion process in details. (4%)
- (b) Boron pre-deposition step is performed into an n-type Si substrate at 1000°C. Boron solid solubility at 1000°C is known to be $3.5 \times 10^{20}/\text{cm}^3$ and the incorporated boron dose Q is $3 \times 10^{15}/\text{cm}^2$.
- (i) What is the Dt product of the pre-deposition process? (5%)
- (ii) What is the junction depth x_j of the pre-deposition profile if the n-type substrate has a background concentration of $10^{15}/\text{cm}^3$? (5%)

Problem 5 – Evaporation (16%)

- (a) If the evaporation source is very far from the wafer, we can treat the evaporation fluxes to be uniform and parallel. The following contact opening has vertical SiO_2 sidewalls and the evaporating flux is making an angle of 35° with respect to the normal of the wafer's surface. If the deposition rate is $1200 \text{ \AA}/\text{min}$, sketch the cross-sectional profile of the deposited film after 5 min. (7%)



- (b) What are the working principle of evaporation and sputtering? (5%) Why must these processes be operated under vacuum? (2%)
- (c) List 2 methods for vacuum measurement. (2%)

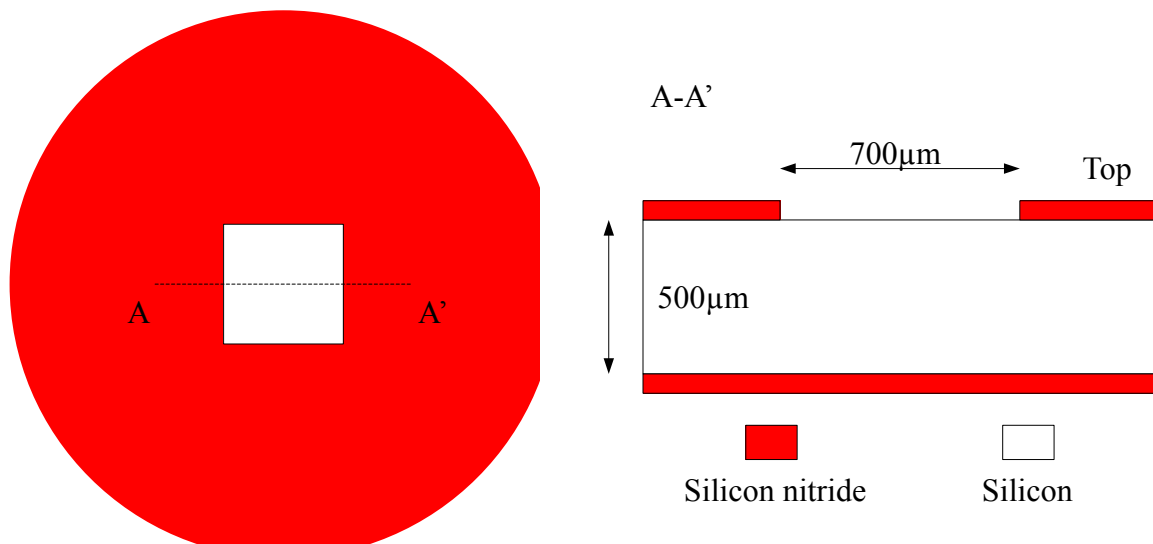
Problem 6 – Etching (16%)

The silicon {100} wafer shown below has patterned silicon nitride masks on both top and bottom surfaces.

- (1) With KOH, the etch selectivity between the {100} and {111} planes is 400:1 (use ∞ :1 in your calculation), and the {100} etch rate is $1.4 \mu\text{m}/\text{min}$.
- (2) With TMAH, the etch selectivity between the {100} and {111} planes is 40:1, and the {100} etch rate is $1.1 \mu\text{m}/\text{min}$.

Assuming that the silicon nitride layer and all other silicon crystal planes are not etched, sketch the wafer cross section after 300 minutes of etching (3%) and determine the following dimensions after etching in both KOH and TMAH cases:

- (a) Silicon etching depth (2%)
- (b) Silicon etch width at top (4%)
- (c) Silicon etch width at bottom (4%)



- (d) In case there is an elliptic opening B on the nitride mask, what will be the final shape of the cavity after 300 minutes of KOH etching? (3%)

